

AGENDA REGULAR MEETING 7:30 P.M. – October 7, 2024 (Monday) 113 Timber Trail, Island Lake, IL

1. CALL TO ORDER & ROLL CALL

2. PLEDGE OF ALLEGIANCE

3. PUBLIC COMMENTS

4. PRESENTATION & APPROVAL OF MINUTES

- a. Regular Meeting Minutes September 9, 2024
- b. Executive Sessions Minutes August 13, 2024

5. TREASURER'S REPORT

6. MANAGER'S REPORT

- a. Operations Report
- b. Engineering Report
- c. Delinquent Accounts Report

7. TRUSTEE REPORTS

8. LEGAL BUSINESS

9. OLD BUSINESS

10. NEW BUSINESS

- a. Approve Annual Treasurer's Report and Authorization to Make the Report Available
- b. Approve Pay Request #2 for the Holiday Hills / Le Villa Vaupell Phase 2 Sewer Project
- c. Approve District Insurance Policy Renewals
- d. Approve Resolution Adopting Updated Investment and Fiscal Operations Policy
- e. Approve Employee Dental and Life Insurance Policy Renewals

11. MISCELLANEOUS CORRESPONDENCE

a. MCCG Membership Mtg - Village of Cary - Wednesday, October 23, 2024

12. APPROVAL OF BILLS

13. OTHER BUSINESS

a. Executive Session – Pending Litigation, Personnel, if needed

Posted to www.nmwrd.org - October 4, 2024









NORTHERN MORAINE WASTEWATER RECLAMATION DISTRICT

113 Timber Trail, Island Lake, Illinois 60042

REGULAR MEETING MINUTES

September 9, 2024

Present in person: <u>Trustees:</u> Timothy Brunn, Ken Michaels, John Ragland, Caretina Tellez, Donald Ashley <u>District Manager:</u> Mohammed Haque; <u>District Clerk:</u> Elisa Fisher

Other Guests: Alexander Planeto- Attorney Joseph Troyer- GW & Associates PC

President Michaels called the meeting to order at 7:30 p.m.

1. CALL TO ORDER:

Roll Call:

Timothy Brunn – Present John Ragland – Present Caretina Tellez – Present Donald Ashley – Present Ken Michaels – Present

2. PLEDGE OF ALLEGIANCE: Those present stood and pledged allegiance to the flag.

3. PUBLIC COMMENTS: None

4. PRESENTATION & APPROVAL OF MINUTES:

a. <u>Regular Meeting, August 13, 2024</u>

Motion by Trustee Tellez to approve the Regular Meeting minutes of August 13, 2024, as presented. 2nd by Trustee Ashley

5 ayes 0 nays 1 abstain

MOTION CARRIED

b. Executive Meeting, August 13, 2024

Motion by Trustee Ragland to table the Executive Meeting minutes of August 13, 2024, as presented. 2nd by President Michaels

5 ayes 0 nays 1 abstain

MOTION CARRIED

5. TREASURER'S REPORT:

a. <u>Approval of the Monthly Treasurer's Report for month ending July 31, 2024</u>

The Treasurer's Report was presented by Trustee Tellez. Motion by Trustee Ragland to approve the Treasurer's Report for the month ending July 31, 2024, as presented. 2nd by Trustee Brunn

Roll Call: Timothy Brunn – Aye John Ragland – Aye Caretina Tellez – Aye Donald Ashley – Aye Ken Michaels – Aye



Northern Moraine Wastewater Reclamation District Regular Meeting Minutes September 9, 2024

5 ayes 0 nays 0 absent

MOTION CARRIED

6. MANAGER'S REPORT: President Michaels advised the Board that the server went down last week. District Manager Haque stated that the server motherboard was fried, and it was due to faulty power from ComEd. Trustee Brunn asked if there was an insurance claim made, and District Manager Haque stated that there was. President Michaels and Trustee Brunn asked if the District was planning on replacing the server. District Manager Haque stated that it was patched to get it up and running and we are looking at replacing the entire thing. President Michaels asked if there was a way to be cloud based, District Manager Haque stated that the District needs a physical server for Plant related things.

7. TRUSTEE REPORTS: Trustee Ragland informed the Board that he attended the Board meeting of Island Lake on 8/22/2024. He advised the Board that he had a meet and greet with the Mayor and Board of Island Lake. Trustee Ragland stated that everything went well, there were no issues discussed. Trustee Brunn stated that he might attend Island Lake Board meetings, if time allows.

8. LEGAL BUSINESS: District Attorney Alexander Planeto stated District Manager Haque wanted him to go over fiscal policy changes. District Attorney Planeto stated that this item will be on the agenda next month. The overview was regarding investments and sustainability policies.

9. OLD BUSINESS: None

10. NEW BUSINESS:

a. Approve Annual Audit for Fiscal Year 2023-2024

Joseph Troyer from GW & Associates PC introduced himself and gave a brief overview of the annual Northern Moraine WRD audit for the fiscal year ending April 30, 2024. Mr. Troyer began his presentation by thanking the District Staff for their cooperation in providing all the requested documents to help complete this audit. Mr. Troyer stated that the auditors are giving a clean opinion, Mr. Troyer stated that they reviewed all internal controls, workflows, policies, and procedures, which showed no issues of concern. Mr. Troyer went over financial statements and budget reports. Mr. Troyer stated that he just needed to proofread this final draft and then this would be finalized. Trustee Brunn asked that any changes be sent to the Board for review.

Motion by Trustee Brunn to accept the Annual Audit as presented by GW & Associates PC for the Fiscal year ended on April 30, 2024.

2nd by Trustee Ragland Roll Call:

Timothy Brunn – Aye John Ragland – Aye Caretina Tellez – Aye Donald Ashley – Aye Ken Michaels – Aye

5 ayes 0 nays 0 absent

MOTION CARRIED



Northern Moraine Wastewater Reclamation District Regular Meeting Minutes September 9, 2024

b. <u>Approve Payment Request #3 – Control Building Electrical Upgrades</u> Motion by Trustee Brunn approve Pay Request #3 in the amount of \$17,790.76 to Pieper Electric, Inc. for the Control Building Electrical Upgrades Project. 2nd by Trustee Ashley

Roll Call:

Timothy Brunn – Aye John Ragland – Aye Caretina Tellez – Aye Donald Ashley – Aye Ken Michaels – Aye

5 ayes 0 nays 0 absent MOTION CARRIED

11. MISCELLANEOUS CORRESPONDENCE:

a. MCCG Membership Mtg – Village of Richmond – September 25, 2024

12. APPROVAL OF BILLS

Motion by Trustee Brunn to approve payment of bills for September 9, 2024, as presented, in the amount of \$173,685.79 2nd by Trustee Ragland

Roll Call:

Timothy Brunn – Aye John Ragland – Aye Caretina Tellez – Aye Donald Ashley – Aye Ken Michaels – Aye

5 ayes 0 nays 0 absent MOTION CARRIED

13. OTHER BUSINESS: None

ADJOURNMENT

Motion by President Michaels to adjourn the meeting at 7:51 p.m. Unanimously approved on a voice vote



Treasurer Report

As of August 31, 2024

Current Assets	
Checking/Savings	
1015 · Cash on Hand	800.00
1016 · Chase - Checking	720,125.37
1018 · Chase - Savings	38,935.56
1020 · First Mid Bank Trust	1,664,347.58
1060 · IL Epay Funds	39,826.09
1070 · JP Morgan Securities	
1082 · Certificates of Deposit	
1082bb · 1082bb GOLDMAN SACHS BK USA NEW	100,000.00
1082bc · 1082bc CENTIER BANK WHITING	100,000.00
1082bd · 1082bd BANK OF AMERICA NA	100,000.00
1082be · 1082be BEAL BK PLANO CD	100,000.00
Total 1082 · Certificates of Deposit	400,000.00
Total 1070 · JP Morgan Securities	400,000.00
Total Checking/Savings	2,864,034.60

Kenneth A. Michaels, Jr. - President

Caretina Tellez - Treasurer

This report has been reviewed by Roberta C. Wajrowski, CPA

Date

Date

12:35 PM

10/04/24

Accrual Basis

NMWRD Profit & Loss Budget vs. Actual May through August 2024

		May - Aug 24	Budget	\$ Over Budget	% of Budget
Ordinary Incor Income	ne/Expense				
	Property Tax Income	48,265.97	90,000.00	-41,734.03	53.6%
	Replacement Tax Income	1,180.61	10,000.00	-8,819.39	11.8%
4300 ·	Sewer Permit Income	1,000.00	2,000.00	-1,000.00	50.0%
4500 ·	Sewer Usage Income	1,068,619.74	3,187,200.00	-2,118,580.26	33.5%
4510 -	Connection Fees	101,188.05	382,704.00	-281,515.95	26.4%
4520 ·	Penalty Income	38,082.37	90,000.00	-51,917.63	42.3%
4600 -	Refund Income	0.00	500.00	-500.00	0.0%
	Interest Income	4,773.63	3,000.00	1,773.63	159.1%
	Miscellaneous Income	5,967.80	1,000.00	4,967.80	596.8%
	Hauled Waste Income	51,413.95	105,000.00	-53,586.05	49.0%
	Engin. & Legal Rev. Fees	0.00	5,000.00	-5,000.00	0.0%
	Grants	4,698.00	0.00	4,698.00	100.0%
Total Inc		1,325,190.12	3,876,404.00	-2,551,213.88	34.2%
Gross Profi	t	1,325,190.12	3,876,404.00	-2,551,213.88	34.2%
Expense 5000 ·	Salaries	341,044.68	1,017,810.00	-676,765.32	33.5%
	Payroll Tax Expense	35,200.51	76,340.00	-41,139.49	46.1%
	Payroll Expenses-other	407.26	900.00	-492.74	45.3%
	Employee Insurance	57,071.71	167,380.00	-110,308.29	34.1%
	Trainings & Seminars	5,945.80	21,500.00	-15,554.20	27.7%
	Clothing Allowance	565.84	3,400.00	-2,834.16	16.6%
	IMRF Employer Contribution Exp	24,925.39	76,890.00	-51,964.61	32.4%
5110 ·	Maintenance-Buildings	25,669.47	35,500.00	-9,830.53	72.3%
5120 ·	Maintenance-Vehicles	15,810.74	8,000.00	7,810.74	197.6%
5130 ·	Maintenance-Equipment	4,116.80	45,000.00	-40,883.20	9.1%
	Maintenance-Utility System	35,163.92	68,000.00	-32,836.08	51.7%
	Maintenance Supplies	649.51	3,000.00	-2,350.49	21.7%
	Sludge Hauling	32,588.51	55,000.00	-22,411.49	59.3%
	Operating Supplies	3,720.51	8,000.00	-4,279.49	46.5%
	Motor Fuel & Lube Vehicle Supplies	5,758.47 362.10	14,000.00 2,600.00	-8,241.53 -2,237.90	41.1% 13.9%
	Lab Supplies	6,614.06	20,000.00	-13,385.94	33.1%
	Miscellaneous Equipment	0.00	2,000.00	-2,000.00	0.0%
	Small Tools	920.91	1,200.00	-279.09	76.7%
5255 -	Chemicals Expense	29,375.01	88,000.00	-58,624.99	33.4%
5260 ·	Safety Equipment	8,888.12	10,500.00	-1,611.88	84.6%
5320 ·	General Insurance	16,532.00	87,687.00	-71,155.00	18.9%
5330 -	Telephone Expense	28,153.39	26,890.00	1,263.39	104.7%
5360 ·	Utilities	67,872.65	182,000.00	-114,127.35	37.3%
	Security System	10,996.04	11,700.00	-703.96	94.0%
	Rentals	57.50	1,100.00	-1,042.50	5.2%
	Travel Expense	399.45	5,000.00	-4,600.55	8.0%
	Software Support	20,096.29	31,470.00	-11,373.71	63.9%
	Accounting Service	880.32	10,100.00	-9,219.68	8.7%
	Professional Lab Testing	2,085.14	8,000.00	-5,914.86	26.1%
	Julie Locate Expense	0.00	23,500.00	-23,500.00	0.0%
	Engineering Services	0.00	6,000.00	-6,000.00	0.0%
5450 ·	Legal Expenses	25,913.50	76,500.00	-50,586.50	33.9%

12:35 PM

10/04/24

Accrual Basis

NMWRD Profit & Loss Budget vs. Actual May through August 2024

	May - Aug 24	Budget	\$ Over Budget	% of Budget
5460 · Permit Fees	500.00	18,000.00	-17,500.00	2.8%
5480 · Other Professional Services	57,768.25	137,800.00	-80,031.75	41.9%
5510 · Office Supplies	4,747.70	8,000.00	-3,252.30	59.3%
5520 · Postage	13,193.08	25,000.00	-11,806.92	52.8%
5530 · Website Expense	122.65	2,000.00	-1,877.35	6.1%
5540 Printing & Publishing	7,509.21	9,300.00	-1,790.79	80.7%
5550 · Publications & Subscriptions	2.99	200.00	-197.01	1.5%
5560 · Membership Dues	2,745.00	4,380.00	-1,635.00	62.7%
5630 · Bank Service Charges	3,656.27	12,600.00	-8,943.73	29.0%
5640 · Interest Expense	53.70	47,824.00	-47,770.30	0.1%
5710 · Miscellaneous Expense	37.96	500.00	-462.04	7.6%
5810 · Refunds	0.00	100.00	-100.00	0.0%
Total Expense	898,122.41	2,460,671.00	-1,562,548.59	36.5%
Net Ordinary Income	427,067.71	1,415,733.00	-988,665.29	30.2%
Other Income/Expense Other Income				
4810 · Bond Proceeds & Interest	0.00	8.498.572.00	-8.498.572.00	0.0%
4995 · Grants & Contributions	848,662.86	5,294,027.02	-4,445,364.16	16.0%
Total Other Income	848,662.86	13,792,599.02	-12,943,936.16	6.2%
Other Expense				
6010 · Office Equipment over \$500	598.54	6,500.00	-5,901.46	9.2%
6030 · Capitalized Treatment Upgrade	990,099.77	13,846,000.00	-12,855,900.23	7.2%
6040 · Bond Principal Payable	0.00	705,000.00	-705,000.00	0.0%
6070 · Building Improvements	334.51	21,000.00	-20,665.49	1.6%
Total Other Expense	991,032.82	14,578,500.00	-13,587,467.18	6.8%
Net Other Income	-142,369.96	-785,900.98	643,531.02	18.1%
Net Income	284,697.75	629,832.02	-345,134.27	45.2%

NMWRD Escrow Account(s) Summary rev. Oct. 1st, 2024



Project	Village	Developer	Escrow Start	To	tal Funding	Draws	Balance as of Oct. 1st		Notes	
Advocate Outpatient Center	LM	Advocate Aurora Health Inc.	4/17/2023	\$	2,500.00	3	\$	-	Escrow funds in the amount of \$449.24 was returned following the September Board Meeting. Escrow account was closed on 9/10/2024 after check was sent.	
Beech Street Senior Lofts	IL	Lincoln Avenue Capital	2/13/2023	\$	5,000.00	2	\$ 1	,740.00	Account Current	
NOBO Provisioning Center	LM	BA/MA Lakemoor Property LLC	7/5/2023	\$	2,500.00	4	\$ 1	,062.50	Account Current	
Kelley's Market	IL	Arc Design Resources, Inc.	10/27/2023	\$	2,500.00	4	\$	555.50	Account Current	

 Total Funding for All Escrow Accounts to Date
 \$ 76,811.82

 **Since District re-established Escrow accounts in 2019.
 \$ 76,811.82



Account No	Address	Cor	ntract Charge	Р	rincipal	nterest	Р	ayment	Con	tract Balance
4005704	1204 Sunset Drive	\$	10,822.00	\$1	0,822.00	\$ 100.47	\$ (1	10,922.47)	\$	-
4005722	1404 Sunset Drive	\$	10,822.00	\$	110.28	\$ 251.41	\$	(310.02)	\$	10,711.72
4005781	1522 Sunset Drive	\$	10,822.00	\$1	0,822.00	\$ 216.83	\$ (1	11,038.83)	\$	-
4005785	2401 S Vaupell Drive	\$	10,822.00	\$	130.84	\$ 179.84	\$	(310.68)	\$	10,691.16
4005793	2701 Holiday Drive	\$	10,822.00	\$	142.26	\$ 322.77	\$	(413.36)	\$	10,679.74
4005802	3001 Holiday Drive	\$	10,822.00	\$	28.54	\$ 229.81	\$	(155.01)	\$	10,736.03

Total	\$	64,932.00	\$22,055.92	\$ 1,301.13	\$ (23,150.37) \$	42,818.65
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NMWRD Sewer Connection Permit Tracking

Darrell Road Special Connection Fee Collections *Special Connection Fees Ordinance approved February 2020



	Permits Issued	Amount Paid
2020 Permits	14	\$ 45,472.00
2021 Permits	21	\$ 139,200.00
2022 Permits	50	\$ 171,216.00
2023 Permits	39	\$ 276,969.57
2024 Permits	29	\$ 58,725.51
Total	153	\$ 691,583.08

Permit Date	Permit No.	Account No.	Address	City	Subdivision/ Business	Permit Owner	An	nount Paid
6/12/2024	0301-A	950050301	311 E State Road	IL	Save More Gas	Khurram Ghani	\$	-
7/24/2024	24R-001	1601988	1108 Wembley Drive	IL	Fox River Shores	David Bumbis	\$	-
8/12/2024	24R-002	1500379	3228 Park Drive	IL	Island Lake Estates	Linda Kalnicky	\$	-
8/12/2024	24R-003	3505365	232 Eastway Ave	PB	Fox River Valley Garden	Village of Port Barrington	\$	-
8/19/2024	24R-004	5005768	32004 Savannah Drive	LM	Savannahs	William Ryan Homes	\$	3,851.55
8/19/2024	24R-005	5005769	32006 Savannah Drive	LM	Savannahs	William Ryan Homes	\$	3,851.55
8/19/2024	24R-006	5005770	32010 Savannah Drive	LM	Savannahs	William Ryan Homes	\$	3,851.55
8/19/2024	24R-007	5005771	32012 Savannah Drive	LM	Savannahs	William Ryan Homes	\$	3,851.55
8/19/2024	24R-008	5005772	32014 Savannah Drive	LM	Savannahs	William Ryan Homes	\$	3,851.55
8/22/2024	24R-009	5005773	32016 Savannah Drive	LM	Savannahs	William Ryan Homes	\$	3,851.55
9/17/2024	24R-010	3505774	1229 Noble Drive	PB	Deer Grove	Gaylor Excavating	\$	-
9/17/2024	24R-011	3505775	1225 Noble Drive	PB	Deer Grove 2	Gaylor Excavating	\$	-
9/17/2024	24R-012	3505776	1211 Noble Drive	PB	Deer Grove 2	Gaylor Excavating	\$	-
9/30/2024	24R-013	1602068	3806 Hale Lane	IL	Fox River Shores	Debra Kreiter	\$	-
10/3/2024	24R-014	4005777	1206 Sunest Drive	HH	Holiday Hills	John E Dunford	\$	3,851.55

FY 2024-25 Total:

\$ 26,960.85



Northern Moraine WRD Project Grant Tracking

Rev. October 1, 2024

2024 2024 2024	FY2025 Appropriation Request - Foster FY2025 Appropriation Request - Foster IL State Representative Jay Hoffman	U.S. House Representative Bill Foster U.S. House Representative Bill Foster IL State	\$6,700,000.00 \$3,000,000.00	\$1,105,800.00	\$6,700,000.00	4/29/2024	Recommended for Congressional Approval
2024	Appropriation Request - Foster IL State Representative	Representative Bill Foster	\$3,000,000.00				
	Representative	IL State		-	\$12,300,000.00	4/29/2024	Under Review
2023	•	Representative Jay Hoffman	\$350,000.00	-	\$1,200,000.00	4/18/2024	Under Review
2024	Congressionally Directed Spending Request	U.S. Senator Dick Durbin	\$3,000,000	-	\$12,300,000	4/5/2024	Under Review
2024	Congressionally Directed Spending Request	U.S. Senator Dick Durbin	\$750,000/ \$500,000 min.	-	\$1,200,000	4/5/2024	Under Review
2024	Congressionally Directed Spending Request	U.S. Senator Dick Durbin	\$2,000,000/ \$1,530,000 min.	-	\$3,100,000	4/5/2024	Under Review
2024	Congressionally Directed Spending Request	U.S. Senator Dick Durbin	\$1,700,000/ \$1,000,000 min.	-	\$6,700,000	4/5/2024	Under Review
2023	Congressionally Directed Spending Request	U.S. House Representative Bill Foster	\$3,500,000	\$959,752	\$10,851,000	3/17/2023	Recommended for Congressional Approval
2022	FY 2023 Interior, Environment, and Related Agencies CPF Request Form IL-14 (Google Form)	US House Representative Lauren Underwood	\$2,500,000	\$2,500,000	\$10,851,000	4/15/2022	Awarded / In- Progress
2022	Congressionally Directed Spending Request	U.S. Senator Dick Durbin	\$250,000	\$250,000	\$500,000	4/15/2022	Awarded / In- Progress
20	023	D24 Congressionally Directed D24 Directed D23 Congressionally Directed D23 Congressionally Directed D23 FY 2023 Interior, Environment, and Related Agencies D22 FY 2023 Interior, Environment, and Related Agencies D24 Congressionally Google Form) D22 Congressionally Directed	Spending RequestD24Congressionally Directed Spending RequestU.S. Senator Dick DurbinD23Congressionally Directed Spending RequestU.S. House Representative Bill FosterD23FY 2023 Interior, Environment, and Related Agencies CPF Request Form IL-14 (Google Form)US House Representative Lauren UnderwoodD22Congressionally Directed Spending RequestUS House Representative Lauren Underwood	Spending Requestmin.D24Congressionally Directed Spending RequestU.S. Senator Dick Durbin\$1,700,000/ \$1,000,000 min.D23Congressionally Directed Spending RequestU.S. House Representative Bill Foster\$3,500,000D23FY 2023 Interior, Environment, and Related Agencies CPF Request Form IL-14 (Google Form)US House Representative Lauren Underwood\$2,500,000D22Congressionally Directed Spending RequestUS House Representative Lauren Underwood\$2,500,000	Spending Requestmin.024Congressionally Directed Spending RequestU.S. Senator Dick Durbin\$1,700,000/ \$1,000,000 min.023Congressionally Directed Spending RequestU.S. House Representative Bill Foster\$3,500,000023FY 2023 Interior, Environment, and Related Agencies CPF Request Form IL-14 (Google Form)US House Representative Lauren Underwood\$2,500,000022Congressionally DirectedU.S. Senator Porm IL-14 (Google Form)U.S. Senator Dick Durbin\$2,500,000	Spending Requestmin.D24Congressionally Directed Spending RequestU.S. Senator Dick Durbin\$1,700,000/ \$1,000,000 min\$6,700,000D23Congressionally Directed Spending RequestU.S. House Representative Bill Foster\$3,500,000\$959,752\$10,851,000D23FY 2023 Interior, Environment, and Related Agencies CPF Request Form IL-14 (Google Form)US House Representative Lauren Underwood\$2,500,000\$2,500,000\$10,851,000D22Congressionally Directed Spending RequestU.S. Senator Dick Durbin\$2,500,000\$2,500,000\$10,851,000	Spending Requestmin.D24Congressionally Directed Spending RequestU.S. Senator Dick Durbin\$1,700,000/ \$1,000,000 min\$6,700,0004/5/2024D23Congressionally Directed Spending RequestU.S. House Representative Bill Foster\$3,500,000\$959,752\$10,851,0003/17/2023D22FY 2023 Interior, Environment, and Related Agencies CPF Request Form IL-14 (Google Form)US House Representative Lauren Underwood\$2,500,000\$2,500,000\$10,851,0004/15/2022D22Congressionally Directed Spending RequestU.S. Senator Dick Durbin\$2,500,000\$2,500,000\$10,851,0004/15/2022









Project	Year	Program	Agency	Grant Requested	Expected Grant Amount	Project Amount	Date Applied	Status
Unsewered Community – Village of Holiday Hills (Phase 1)	2022	McHenry County – ADVANCE McHenry County (ARPA Funding)	McHenry County	\$2,905,080	\$2,000,000	\$7,287,500	2/8/2022	Awarded / In- Progress
Unsewered Community – Village of Holiday Hills / Le Villa Vaupell (Phase 2)	2022	McHenry County – Community Development Block Grant (CDBG)	McHenry County	\$200,000	\$96,364	\$7,287,500	1/4/2022	Awarded / In- Progress
Lakemoor Lift Stations	2021	Capital Grant Line Item Appropriation (Public Act 101- 0638, House Bill 0064)	DCEO	\$400,000	\$400,000	\$732,730	3/11/2021	Awarded / In- Progress
Unsewered Community – Village of Holiday Hills (Phase 1)	2020	Unsewered Communities Construction Grant Program	IEPA	\$3,495,600	\$3,495,600	\$6,700,000	12/31/2020	Fully Disbursed to NMWRD
Control Building Electrical Upgrades	2020	Installation and/or Replacement of Utilities Grant Program (Public Act 101-0007 and/or Public Act 101-0029)	DCEO	\$200,000	\$200,000	\$445,494	6/23/2020	Awarded / In- Progress
	Expe	cted Grant An	nount Total			\$11,00	7,516	

Expected Grants	7
Fully Disbursed Grants	2
TOTAL GRANTS SINCE 2020	9

Project	Amount Granted	Amount Expended	Remaining Grant Balance
Control Building Electrical	\$200,000.00	\$200,000.00	\$0.00
Lift Stations 1 & 6	\$400,000.00	\$400,000.00	\$0.00







NMWRD Service Connection Permit Tracking FY 2024-25



Permit Date	Permit No.	Account No.	Address	City	Subdivision/ Business	Re-Connect, Repair, Demo or New Connection	Permit Owner	Connection/ nspection Fee	arrell Road Special nnection Fee
6/12/2024	0301-A	950050301	311 E State Road	IL	Save More Gas	Demo	Khurram Ghani	\$ 100.00	\$ -
7/24/2024	24R-001	1601988	1108 Wembley Drive	IL	Fox River Shores	Repair	David Bumbis	\$ 100.00	\$ -
8/12/2024	24R-002	1500379	3228 Park Drive	IL	Island Lake Estates	Repair	Linda Kalnicky	\$ 100.00	\$ -
8/12/2024	24R-003	3505365	232 Eastway Ave	PB	Fox River Valley Garden	Repair	Village of Port Barrington	\$ 100.00	\$ -
8/19/2024	24R-004	5005768	32004 Savannah Drive	LM	Savannahs	New Connection	William Ryan Homes	\$ 7,674.00	\$ 3,851.55
8/19/2024	24R-005	5005769	32006 Savannah Drive	LM	Savannahs	New Connection	William Ryan Homes	\$ 7,674.00	\$ 3,851.55
8/19/2024	24R-006	5005770	32010 Savannah Drive	LM	Savannahs	New Connection	William Ryan Homes	\$ 7,674.00	\$ 3,851.55
8/19/2024	24R-007	5005771	32012 Savannah Drive	LM	Savannahs	New Connection	William Ryan Homes	\$ 7,674.00	\$ 3,851.55
8/19/2024	24R-008	5005772	32014 Savannah Drive	LM	Savannahs	New Connection	William Ryan Homes	\$ 7,674.00	\$ 3,851.55
8/22/2024	24R-009	5005773	32016 Savannah Drive	LM	Savannahs	New Connection	William Ryan Homes	\$ 7,674.00	\$ 3,851.55
9/17/2024	24R-010	3505774	1229 Noble Drive	PB	Deer Grove	New Connection	Gaylor Excavating	\$ 7,674.00	\$ -
9/17/2024	24R-011	3505775	1225 Noble Drive	PB	Deer Grove 2	New Connection	Gaylor Excavating	\$ 7,674.00	\$ -
9/17/2024	24R-012	3505776	1211 Noble Drive	PB	Deer Grove 2	New Connection	Gaylor Excavating	\$ 7,674.00	\$ -
9/30/2024	24R-013	1602068	3806 Hale Lane	IL	Fox River Shores	Repair	Debra Kreiter	\$ 100.00	
10/3/2024	24R-014	4005777	1206 Sunest Drive	HH	Holiday Hills	New Connection	John E Dunford	\$ 7,674.00	\$ 3,851.55

	Standard Connections		 el Road nections
FY 2024 - 2025 Total:	\$	77,240.00	\$ 26,960.85
Approved Budget FY 2024 - 2025	\$	272,664.00	\$ 110,040.00
Approved +/- vs. Current YTD	\$	(195,424.00)	\$ (83,079.15)



NMWRD Operations Report Date: October 4th, 2024 Prepared by: Joe Lapastora – Director of Operations

Headworks Raw Pump(s) & RAS Pump + RAS VFD:

[*Project Update*] – On September 20th, Grundfos successfully installed Raw Pump 4 after it had been repaired earlier in the month. Recall that Raw Pump 4 was sent to the manufacturer for inspection, and we were informed in early September that repairs to the mechanical seal, upper and lower bearings, o-rings, and installation of moisture and leakage switches were needed. The District now has three (3) functional raw pumps with the fourth and final pump slated to be installed in October. Recall that we already have P.O.s issued for the new equipment pad and pump install so once the pump arrives, we will be able to install quickly. More updates regarding Raw Pump #2 install will be covered on next month's Operations Report. This project update section will now include follow-up information on the failed RAS pump and RAS VFD from the September Electrical surge event. Note that Grundfos picked up the damage RAS pump and damaged RAS VFD while there were on-site for the Raw Pump work. We should expect to get inspection reports from Grundfos in October and we also expect the new RAS pump and new RAS VFD to be installed by Grundfos in October. More updates regarding RAS Pump and RAS VFD components will be covered on next month's Operations Report.

Lakemoor LS 3, LS 4, LS 7, and South Shore LS Upgrades:

[*Project Update*] – Recall this project update section has been monitoring the upgrades for four (4) Lift Stations the District is serving as the GC for, including Lift Station 3, Lift Station 4, Lift Station 7, and South Shore Lift Station. As of today, we have successfully installed, load bank tested, and started up all four (4) generators with all running smoothly since startup. Other future non-generator upgrades at the Lakemoor Lift Stations include control panel installs / internal upgrades to existing control panels, light pole installations, landscaping upgrades, and radio/telemetry buildout (see SCADA section in this report for more information). More information will be provided on next month's Operations Report as the project continues to progress.

LS3 Emergency Repairs and Wet Well Metals Replacement:

[*Project Update*] – On Thursday, September 11th, while District Operations staff were performing weekly Lift Station rounds, we discovered one of the two pump rail systems within Lift Stations 3's wet well had collapsed. Shortly after discovering the collapsed rail system, we discovered the lone pump that was attached to the intact rail system was having a hard time keeping up with flows. It was later discovered that the various issues within the wet well stemmed from the "off" float and associated weight being torn off its cable. When this happened, there was no signal for our station to turn off the pumps and when pumps continue to run without any flow coming into the station, they will dry-run (run without water going through the impeller). In turn, this leads to extra vibration which very likely caused the metal rail system to shear and break. Furthermore, the "off" float and weight that had been torn off its cable were sucked into the pump that was located on the intact rail system leading to a huge reduction in pumping efficiency. Dahme Mechanical was brought in to assist with emergency repairs to get the station back up and running. Dahme arrived on-site around 2:30pm with the general scope of re-connecting the rail system via welding so that we could get the functional







pump attached to the system to keep pumping. In order to do so, we needed to get the station pumped down for them to see the base elbow where the rails get inserted to. As peak flows approached near 4pm, we were forced to bring the District's VAC truck out to pump the station down since the lone pump could not keep up with peak flows. Just before finishing up a full vac out of the wet well, the VAC truck hatch seal had begun to fail so we abandoned the pump down to ensure we did not spill wastewater in unauthorized areas. Shortly after our VAC seal issues, we requested support from the nearby Village of Wauconda Public Works and they provided VAC assistance for nearly 4 hours to keep flows moving. While Wauconda PW assisted at LS3, District staff then shifted to getting the District's bypass pump on-site to allow for us to bypass the station completely so we could complete our original goal of re-inserting the rail system back on the base elbow, at which point we could run the functional pump. The Godwin pump was ultimately connected around midnight after a few setbacks with bypass connection adapters. Once the station was bypassed, it was ultimately discovered that the peg inserts that hold the rails in place on the base elbow had also sheared and broken off. At this point, we simply swapped pumps so that the functional pump was lowered on the intact rail system and we were able to bring the station back online by 1am. Due to the multiple failures of the wet well metals that were observed, we ultimately decided to order all new metal components inclusive of the upper 90 degree elbow, pipe section, lower base elbow, and base mount for both rail systems and planned to have Dahme come back out to perform the work. The Godwin pump was left on-site for the future bypass that would be needed to replace the metals and the station functioned without any issues for nearly 3 weeks until the repair work was performed. On Wednesday, October 2nd, Dahme Mechanical returned to perform the removal and replacement of the wet well metals. This work was completed in a single work shift and the station was brought back online with both pumps fully functioning. Note that the pump that had sucked up the broken float and weight did not have any significant damage after the float and weight were removed. Since all repairs were completed before the completion of this Board Report, this shall be the last project update section.



Condition of old wet well metals, heavily corroded.



Photo showing station with by-pass pump set-up.



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October 2nd bypass and metals replacement.



Photo of old base elbow with sheared pegs.



Photo of new base elbow with pegs intact.

Plant SCADA and Lift Station SCADA:



Photo after wet well metals replaced.

[*Project Update*] – Regarding SCADA buildout at the treatment facility, recall the SCADA buildout per our original goals was completed in July. As such, our staff can now see real-time trending of plant data including headworks functionality and dewatering operations. Our integrator, AAC, will continue working on pulling in other plant equipment into SCADA over the foreseeable future. With this change, the on-call operator now brings home a District iPad that is tied to SCADA that allows us to get a live look at how the plant is operating while we are out of the office. This development has created a better environment for our staff to respond to plant disruptions and should mitigate mobilizations moving forward. Regarding SCADA for our collections system, the intent remains to transmit data to the SCADA computer stationed at the treatment facility via radio/telemetry which will require substantial infrastructure upgrades. This infrastructure will provide a wide range over the District's service area where we can then broadcast data to, and receive data from lift stations. Recall the District has now issued a handful of P.O.s to AAC to continue SCADA buildout efforts at various lift stations, including LS1, LS3, LS4, LS5, LS6, Holiday Hills LS, and Woodmans LS. As of today, we have a handful of stations that have the radio/telemetry infrastructure fully installed. For the time being,



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stations are communicating with our plant SCADA command center via cellular service since the District opted to install MDS orbits with dual capabilities of both cellular and radio/telemetry. Recall that we started the radio/telemetry work in late June when AAC installed a receiving antenna at the treatment facility. Moving forward, AAC will begin ping testing to set up radio/telemetry communication for multiple stations to be tied into SCADA and determine if a repeater antenna will be needed. More information will be provided on next month's Operations Report.

Breakroom Upgrades:

[Project Update] – To date, all rough in work has passed inspection included rough electrical, rough framing, slab plumbing, rough plumbing, rough HVAC, and drywall. Future scope includes flooring, cabinetry and countertop, appliance installs, exterior fixes on wall panels and roofing panels, general cleanup, and decoration. The District will decide if we want to contract the exterior metal panel work out or procure materials for us to install in-house based on the quotes we receive. It is expected that we will be working on this project for a few more months. More information will be provided on future Operations Reports once the project begins.

NPDES Permit Renewal:

As of October 1st, 2023, the District has been operating under the expired NPDES permit until the new permit arrives. This is typical during most renewal periods and the IEPA requires that we continue to operate under our expired permit until the new permit is processed. I called the IEPA in late Augst to check in on the District's permit renewal and was informed that the delay in the issuance on our new permit was a result of the IEPA implementing new PFAS language into our permit since all treatment plants that treat over 1 million gallons per day (1 MGD) will now include PFAS language to address the recent federally mandated PFAS limits. Additionally, we suspect the delay on IEPA issuing the new permit is related to some Special Condition language regarding Nutrient Trading efforts that NWMRD will spearhead in Illinois.

Collection System Cleaning & Televising:

In mid-August, the District made the decision to pause jetting/televising work. In mid-August, we contacted Edge AI and requested a 2-month pause in our subscription service to which they approved our request. As such, we saw little progress on televising this past month. As of today, the District has televised approximately 43% of the entire NWMRD collection system and our goal remains to clean/televise as many mains as we can during this 6-month "on" period, which now ends on December 15th with the additional month added to the back end of the contract. We expect to resume televising efforts this month. In the event that we do not meet the target goal for this year, the remaining percentage will be carried over to the following year or year(s). With three years remaining in our five-year cycle, we are fully capable of meeting the five-year goal. Lastly, recall we were informed that the insurance claim associated with a damaged gravity main that is adjacent to an ATT pedestal was denied. We still believe that all signs point towards the damage coming from the ATT infrastructure. A P.O. has been issued so that we can move forward with the spot repair, and we will investigate the cause of the damage further when the repair is performed. If we determine that the infrastructure that has pierced our gravity main is indeed associated with ATT infrastructure, we will then fight the denied claim. Continued updates will be provided on next month's Operations Report.







Miscellaneous Projects/Updates:

Through the month of September, we saw many noteworthy items. We achieved a few notable milestones through the month of September including the following items. Continued progress continues to be achieved on various faulty pieces of equipment associated with our 24 lift stations as we continue to rectify issues over the past few months. Lift station items include heaters, flowmeters, GFI outlets, strobe lights, etc. We completed our Operator replacements in mid-September as we welcomed Brian Mulee and Dan Alcock to the Operations crew. Both have been great additions to the team. Following up on the electrical surge that was outlined in last month's Operations Report, we had our insurance company out to review the sequence of events and outline the affected equipment. We expect to get a response to our insurance claim in the next 1-2 months. Lastly, we observed a slight anomaly to our treatment process and our crew maneuvered extremely well. In mid-September, we noticed that our fecal coliform results were slightly increased with the no adjustments to the chemical dosing rates. Through investigative lab efforts, we determined the issue was that a small amount of sludge had accumulated in the chlorine contact tanks. As such, our crew successfully emptied and cleaned both channels of the chlorine contact tank over the course of three (3) days. In turn, fecal lab results were back within expected ranges within a day.



Photo of sludge discovered on bottom of CCT.



Photo of CCT after cleaning

COVID Surveillance Data:

On the next few pages, you can find the most recent data for surveillance sampling and testing for SARS-COV-2, Influenza A & B, and RSV tracking. The data continues to show the concentrations of the different variant lineages that are being detected in the samples we have collected.









NORTHERN MORAINE WW RECLAMATION DIST WWTP Wastewater Report, September 2024

For updates on your plant in-between these monthly reports, please visit our wastewater dashboard https://iwss.uillinois.edu

LOCATION: NORTHERN MORAINE WW RECLAMATION DIST WWTP

(McHenry County)

Catchment Informatio	n
Population Served	15,947
NPDES	IL0031933
zipcode	60042
IL Covid Region	9

SARS-CoV-2 LEVELS IN WASTEWATER

Wastewater is analyzed using digital PCR (dPCR) to determine the concentration of the SARS-CoV-2 virus in a sample. The nucleocapsid protein (N) gene of the virus is targeted in the assay, and results are reported in gene copies per liter of starting wastewater.

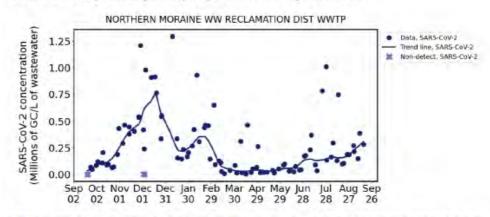


Figure 1. Time series plot of SARS-CoV-2 viral concentrations in millions of gene copies per liter (GC/L) of wastewater. Historical data can be found on the IWSS dashboard, link above.

SARS-CoV-2 SAMPLING RESULTS - LAST 8 SAMPLES

Date	SARS-CoV-2 (GC/L)	2024-09-11	391,050
2024-09-16	283,725	2024-09-09	148,650
		2024-09-04	218,025
		2024-09-03	272,025
		2024-08-28	184,875
		2024-08-26	190,575
		2024-08-21	105,525
		the second se	



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SARS-CoV-2 LINEAGES IN WASTEWATER

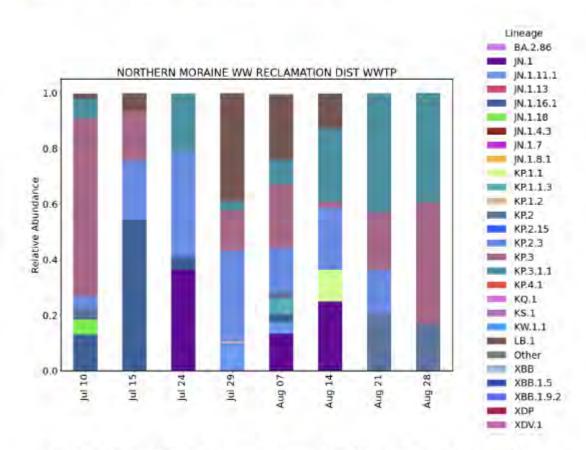


Figure 2. Stacked barplot showing the relative abundances of SARS-CoV-2 lineages in wastewater samples. All lineages in the legend, excluding "Other," are associated with Omicron. The most recently available two months worth of data are shown.









INFLUENZA A/B LEVELS IN WASTEWATER

Wastewater is analyzed using digital PCR (dPCR) to determine the concentration of influenza A and influenza B viruses in a sample. Results are reported in gene copies per liter of starting wastewater.

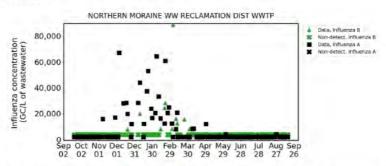


Figure 3. Time series plot of Influenza A/B viral concentrations in gene copies per liter (GC/L) of wastewater. Historical data can be found on the IWSS dashboard, link above.

INFLUENZA A/B SAMPLING RESULTS - LAST 8 SAMPLES

Date	Influenza A (GC/L)	Influenza B (GC/L)
2024-09-16	Non-detect	Non-detect
2024-09-11	Non-detect	Non-detect
2024-09-09	Non-detect	Non-detect
2024-09-04	Non-detect	Non-detect
2024-09-03	Non-detect	Non-detect
2024-08-28	4,200	Non-detect
2024-08-26	Non-detect	Non-detect
2024-08-21	Non-detect	Non-detect

RSV LEVELS IN WASTEWATER

Wastewater is analyzed using digital PCR (dPCR) to determine the concentration of Respiratory Syncytial Virus (RSV) in a sample. Results are reported in gene copies per liter of starting wastewater.

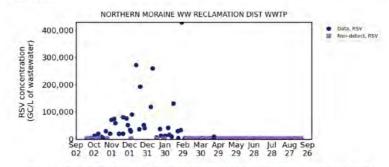


Figure 4. Time series plot of RSV viral concentrations in gene copies per liter (GC/L) of wastewater. Historical data can be found on the IWSS dashboard, link above.

RSV SAMPLING RESULTS - LAST 8 SAMPLES

Date	RSV (GC/L)
2024-09-16	Non-detect
2024-09-11	Non-detect
2024-09-09	Non-detect
2024-09-04	Non-detect
2024-09-03	Non-detect
2024-08-28	Non-detect
2024-08-26	Non-detect
2024-08-21	Non-detect



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NMWRD Engineering Report Date: October 4th, 2024 Prepared by: Jasmin Bait – Junior Engineer

Holiday Hills / Le Villa Vaupell Sanitary Sewer Extension – Phase 2 (Nunda):

[Project Update] – The month of September saw this project pick back up now that all dewatering permits have been approved. The dewatering sub-contractor, Mersino, began drilling dewatering wells the week of September 9 and have made steady progress toward drilling roughly three (3) wells per day. During the week of September 30, the District was informed by the Village of Holiday Hills President, Jeff Giles, that Mersino's operations were causing mud to splash beyond the public right-of-way and onto resident property. Consequently, Trine has suspended Mersino's drilling efforts until they can demonstrate that they can contain their work within the right-of-way. Trine also sent out a crew to clean up the work area and affected properties. There are a total of 50 wells to be installed and they anticipate finishing all installs within a few weeks after they can resume progress. Once all dewatering wells are installed and functional, Trine will mobilize to begin with open-cut excavation for the installation of sanitary sewer infrastructure, and they aim to complete all sanitary sewer construction over the course of five (5) months.





Drilling and installing dewatering well

Holiday Hills Resident Connections to Sanitary Sewer:

As the District receives more inquiries for connecting to the new sewer system, Jasmin maintains contact with each resident throughout the process from the time an application is received and up to the final connection inspection. It must be ensured that each hired sewer contractor meets the District's requirements, and that each homeowner receives a septic abandonment permit from the McHenry County Health Department prior to the District







issuing a Sanitary Sewer Service Permit. Two new connections were made: one on September 10th at 3001 Holiday Dr, and one on September 12th at 1509 Elm St. Both were completed within a single day. The District looks forward to more homes connecting in the future. As of this time, a total of nine (9) homes are connected out of the total 108 that are eligible for connection.

	Tionday This Resid	Connection	Date of	Final
Address	Contractor	Fee	Payment/	Connection
Address	Contractor	Payment	Financing	Inspection
		Method		Date
1521 Sunset Dr	Keith Bradley Inc.	Paid in Full	11/20/2023	12/19/2023
1526 Sunset Dr	Keith Bradley Inc.	Paid in Full	11/13/2023	1/4/2024
1522 Sunset Dr	Keith Bradley Inc.	Financing*	1/1/2024	1/11/2024
2701 Holiday Dr	McHenry Excavating	Financing	1/1/2024	1/26/2024
3001 Holiday Dr	Bartnick Construction	Financing	2/1/2024	9/10/2024
2909 Holiday Dr	TBD	Financing	TBD	TBD
1404 Holiday Dr	Biagi Plumbing	Financing	3/1/2024	3/6/2024
1509 Elm St	Reiche Plumbing	Paid in Full	3/7/2024	9/12/2024
1204 Sunset Dr	Reiche Plumbing	Financing*	5/1/2024	7/10/2024
2401 Vaupell Dr	Reiche Plumbing	Financing	5/1/2024	8/16/2024

Holiday Hills Resident Connections Summary

*Financing contract balance has been satisfied in full

Phosphorus Discharge Optimization Plan:

[Project Update] – Following the submission of the PDOP Action Item memos to the IEPA, the District has paused the weekly regimen of phosphorus testing related to the Phosphorus Discharge Optimization Plan (PDOP). This will continue until we receive the renewed NPDES Permit, which will dictate the next steps, if any, to meet the 0.5 mg/L Total P limit that will be mandated by 2030. Our overall goal remains to average below the 0.5 mg/L Total P limit for a two-week period in the seasons of extreme temperature (summer and winter) using our existing treatment process. We will keep this goal in mind throughout this summer.

Woodman's Lift Station and Sanitary Sewer Additions (Lakemoor):

[*Project Update*] – This project is in the three (3) year maintenance period which was set to close on February 11, 2023. The District continues to work with Filippini Law Firm to wrap up the LOC documents that coincide with the closure of the maintenance period. The District notified Lakemoor in mid-October that we concluded our review of the documents that Lakemoor provided a few months back to close out the Woodman's project. A copy of the







payment schedule for the loan to date of \$1,291,896.00 has been provided to Lakemoor. The Village made its first payment in 2023 in the amount of \$24,563.76. Their second payment was received on April 19 in the amount of \$152,602.65. The District reviewed the easement documents from Lakemoor for the Woodman's property that were received on March 3rd and June 8th. There are still recorded easements missing that we are waiting to receive.

Beech Street Senior Lofts (Island Lake):

[*Project Update*] – The District received preliminary plans in January 2023 for a proposed development of Senior Living on Beech Street in Island Lake. The District is familiar with the previous establishment at the site, formerly known as Sheltering Oaks, which closed roughly 10 years ago. All sanitary sewer related construction was completed in mid-August of 2023. The lone item the District is waiting for is construction record drawings, which is not expected until full construction is complete.

Advocate Outpatient Center (Lakemoor):

[*Project Update*] – The District received preliminary plans in March 2023 for a proposed development of an Advocate Outpatient Center to be located in Lakemoor. All sanitary sewer related construction was completed in early September of 2023. The contractor has also successfully completed the required air testing and vacuum testing on the new sanitary infrastructure in mid-September. The District has received and approved the final as-builts of the development and the associated Escrow account has been closed out. This shall mark the last project update for the Advocate Outpatient Center section of the Engineering Report.

NOBO Provisioning Center (Lakemoor):

[*Project Update*] – The District received preliminary plans in July 2023 for a proposed development of a NOBO Provisioning Center in Lakemoor. An escrow account for this project has been funded in the amount of \$2,500.00 and remains current. Sanitary sewer related construction began in October and the District is waiting for the contractor to schedule the connection inspection. Following that, the lone item the District will be waiting for is construction record drawings.

Kelley's Market (Island Lake):

[*Project Update*] – The District received preliminary plans in October 2023 for a proposed development of Kelley's Market in Island lake located NW of the intersection at IL-176 and River Rd. An escrow account for this project has been funded in the amount of \$2,500.00 and remains current. The developer, Arc Design, continues to revise the plans as they receive feedback from TAI.

Q







Admin Building Renovations:

[Project Update] – The first strides of renovations to the Admin Building took place in August 2023 when the roof was replaced and in November 2023 when the soffit and fascia were replaced. In May, the District completed the replacement of the main entrance ramp inhouse. In early June, the District contracted with a sole proprietor, Brian Davis, to complete the installation of siding on the Admin Building. Throughout July, Brian made progress toward installing siding, painting interior doors, and replacing windows and exterior doors. In August, Brian has nearly completed all siding and has begun work in the Board Room. Through the month of September, Brian is continued toward finishing up the siding and replacing exterior doors and windows. Now with the assistance of one of the new District Operators, Brian Mulee, they are set to complete the siding within the next few days. After then, they will shift their focus to renovation the interior of the Board Room. Progress has already begun in the Board Room such as framing for a new plan set closet and installing new lighting. Doors, flooring, and other materials have been ordered and they plan to complete the Board Room renovations within the next few weeks.



Current standing of Board Room



Front view of Admin building

In addition to the above projects, see below for more updates;

- With staffing changes in the past 1-2 months, Jasmin was brought back into the lab to cover for two of the three days per week that the District performs lab testing. She has done so since late August and will continue through early October until the Operations staff becomes comfortable enough with lab work. At that time, Jasmin will cover lab on an as-needed basis only.



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DELINQUENT ACCOUNTS RECAP FOR September 2024 Revised: 10/4/24 by Elisa Fisher, District Clerk

Island Lake Active Accounts	101 Active Delinquent Accounts 3,418 Notice of Delinquency – 61 customers
	Final Notices of Delinquency – 26 customers - Payment plans- 1
	Water Shut off Notices – 5 customers – Pending water shut off 2 Payment plan 0
	Sewer Disconnection –0 customer
	Water off – 6 customers
Lakemoor Active Accounts	78 Active Delinquent Accounts 1,668
	Notice of Delinquency – 37 customers
	Final Notices of Delinquency – 21 customers – Payment plans- 2
	Water Shut off Notices -1 customer - Payment plans- 2 Broken payment plan 0
	Water off – 2 customers
	Sewer Disconnection – 13 customers
Port Barrington Active Accounts	25 Active Delinquent Accounts 556

Notice of Delinquency - 15 customers

Final Notices of Delinquency - 4 customers Payment plans- 1

Sewer Disconnection - 5 customers

Total Delinquent Accounts: 204 **Total Active Accounts:** 5,642

Delinquent Accounts total (active and inactive customers): \$58,023.71 (Balance includes inactive accts, final accts and accounts with water shut off)

September 2023's report: \$41,714.81 September 2022's report: \$44,719.36

MONTHLY ACTIVITY

- 4838 Monthly Bills mailed 10/1/24 (for September service)
- 384 Bills not mailed - customers want it emailed or SMS
- 31 Real Estate closings in September 2024
- 43 Liens filed in September 2024
- Liens released in September 2024 14

- 113 Notices of Delinquency mailed
- Final Notices of Delinquency mailed 51

- 6 Water Shut Off Notices mailed
- Sewer Disconnection Notices mailed 18
- Water Shut off Island Lake/ Lakemoor 8





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AGENDA ITEM # 10A

Meeting Date:	October 7, 2024
<u>Item:</u>	Approval of Annual Treasurer's Report for the Fiscal Year Ending April 30, 2024
<u>Staff Recommendation</u> :	Motion to Approve the Annual Treasurer's Report for the fiscal year ending on April 30, 2024 and authorize the District Clerk to make the report available pursuant to State Statutes.
Staff Contact:	Mohammed M. Haque, District Manager

Background:

District staff have prepared the attached Annual Fiscal Report based on the audited financial statements prepared by GW & Associates, LLC. Pursuant to various reporting requirements, this report must be made available within one-hundred and eighty days of the end of the fiscal period ending April 30, 2024. In the past, the District has published this report one time in an English language newspaper published in the area. Pursuant to 30 ILCS 15/2, which became effective on January 1, 2012, and based on criteria that the District fully meets, including having an audit made by a certified public accountant and that audit report has been filed with the appropriate county clerks, the District is not required to publish the annual report in a local newspaper. We are simply required to publish a notice that the annual audit is available for inspection.

Upon approval, the District Clerk will complete the required publication of availability, and make the report available for inspection.

Recommendation

It is the District Manager's recommendation that the Board approve the Annual Treasurer's Report for the fiscal year ending April 30, 2024 and authorize the District Clerk to make the report available.

Votes Required to Pass

Simple Majority, via Roll Call Vote







Northern Moraine Wastewater Reclamation District Lake and McHenry Counties, Illinois Statement of Cash Receipts and Disbursements Fiscal Year May 1, 2023, to April 30, 2024

REVENUE SUMMARY: PROPERTY TAX INCOME \$90,310.79, REPLACEMENT TAX INCOME \$11,016.51, SEWER PERMIT INCOME \$3,246, SEWER USAGE INCOME \$3,286,599.69, CONNECTION FEES \$759,150.60, PENALTY INCOME \$95,721.77, REFUND INCOME \$86.53, INTEREST INCOME \$2,728.84, MISCELLANEOUS INCOME \$6,627.54, HAULED WASTE INCOME \$130,138.40, ENGINEERING AND LEGAL FEES REVENUE \$5,000 TOTAL REVENUE: \$4,390,626.67.

COMPENSATION SUMMARY: UNDER 25,000.00: ABIGAIL RUIZ, CODY J. QUINNEY, OLAF GWIAZDOWSKI, MUHAMMAD ABDELQADER, DEBORAH L. MARTIN, MARY J. GRANADO 25,000.00 TO 49,999.99: DREW DARLINGTON 50,000.00 TO 74,999.99: EMILY M. LECUYER, ELISA FISHER, ZACHARY R. JORDAN, JASMIN M. BAIT, MADALINA A. ROSCAN 75,000.00 TO 99,999.99: CHRISTOPHER J. MOLIDOR, JAMES C. MANGUM 100,000.00 TO 124,999.99: N/A 125,000.00 TO 149,999.99: JOSEPH A. LAPASTORA 150,000.00 TO 174,999.00: N/A 175,000 TO 199,999: MOHAMMED M. HAQUE **TOTAL COMPENSATION: \$938,844.88**

EXPENDITURE SUMMARY IN EXCESS OF \$2,500: A&P GRAIN SYSTEMS, INC: \$15,595.00, ACCENT LANDSCAPE DESIGN: \$19,770.00, ADVANCED AUTOMATION & CONTROLS, INC: \$42,667.04, AEP ENERGY: \$12,947.74, ALARM DETECTION SYSTEMS, INC: \$17,148.65, ALLIED PAINTING SERVICES: \$21,700, AMAZON CAPITAL SERVICES: \$30,561.06, ASSOCIATED ELECTRICAL CONTRACTORS: \$7,382.00, ASSURED PARTNERS: \$23,886.00, AT&T: \$28,289.00, AUTOMATION SERVICE & DESIGN, INC.: \$13,160.00, BADGER CDL TESTING: \$5,600, BITSPEED CONSULTING, INC: \$9,291.80, BOLLER CONSTRUCTION CO., INC.: \$432,359.35, BREUER METAL CRAFTSMEN INC,: \$29,067.15, BUCKEYE POWER SALES CO., INC: \$33,486.00, C.P.R. ROOFING INC: \$36,525.00, CENTRAL STATES INDUSTRIAL EQUIPMENT & SERVICES: \$4,925.23, CENTRAL STATES WATER ENVIRONMENT ASSOCIATION: \$3,020, CENTRISYS CORPORATION: \$3,265.42, CHASE BANK: \$458,273.53, CHEMTRADE CHEMICALS CORPORATION: \$23,369.87, COLUMBIA PIPE & SUPPLY Co: \$2,577.79, COM ED: \$3,083.96, COMCAST CABLE: \$5,006.76, COMPLETE MECHANICAL SERVICES, INC.: \$10,695.00, CONTINENTAL UTILITY SOLUTIONS, INC.: \$36,790.83, COVALEN: \$32,557.30, CRAFTWOOD LUMBER & HARDWARE: \$3,114.58, CUMMINS SALES AND SERVICE: \$25,854.85, DAHM ENTERPRISES INC: \$33,105.12, DAHME MECHANICAL INDUSTRIES, INC: \$118,760.25, DATA BUSINESS EQUIPMENT: \$3,506.00, DIRECT ENERGY: \$160,088.16, DPS EQUIPMENT SERVICES INC: \$58,830.00, EDGE AI SOLUTIONS, INC.: \$15,949.98, EOSULLIVAN CONSULTING: \$15,000.00, FILIPPINI LAW FIRM, LLP: \$127,526.41, FLOW-TECHNICS, INC: 20,076.50,GACRUX: \$8,250.00, GRANITE & CABINET WORK: \$5,606.00, GRUNDFOS WATER UTILITY INC: \$81,379.79, HEALTH CARE SERVICE CORPORATION: \$219,142.63, HOME DEPOT CREDIT SERVICES: \$11,995.35, IL E-PAY: \$11,004.78, ILLINOIS COUNTIES RISK MANAGEMENT TRUST: \$44,050.00, ILLINOIS PUBLIC RISK FUND: \$28,434.00, JC HARDWOOD: \$2,800.00, JULIE, INC.: \$2,741.91, LAI, LTD: \$29,408.55, LAKESIDE EQUIPMENT CORPORATION: \$10,690.50, LAUTERBACH & AMEN, LLP: \$8,400.00, LOU'S GLOVES: \$3,394.00, MAC STRATEGIES GROUP, INC.: \$25,051.81, MANUSOS GENERAL CONTRACTING INC .: \$10,200.00, McGILVRA ELECTRIC: \$42,975.22, McGUIRE WOODS CONSULTING: \$42,000, McINTIRE MANAGEMENT GROUP: \$8,072.24, MCMASTER CARR: \$3,278.09, MENARDS - FOX LAKE: \$7,729.27, MICHAELS, KENNETH: \$6,000, MICROSOFT: \$3,931.12, MID AMERICAN WATER OF WAUCONDA: \$3,399.80, MIDWEST POWER INDUSTRY, INC.: \$53,564.88, MILWAUKEE CDL INSTITUTE: \$2,974.00, MODERN BUILDERS: \$2,879.86, MONROE TRUCK EQUIPMENT: \$19,315.00, MOTION INDUSTRIES, INC.: \$37,043.96, NICOR GAS: \$22,546.96, PATS SERVICES, INC.: \$6,270.00, POLYDYNE INC: \$47,135.28, RCW TAX: \$4,550.63, SEILER INSTRUMENT & MFG.CO.INC: \$2,721.68, SIMPLIFILE: \$23,272.00, STAPLES DIRECT: \$4,379.43, SUBURBAN LABORATORIES INC: \$3,491.89, SUTTON FORD INC.: \$43,992.00, THE URBAN CRAFTSMAN: \$12,400.00, THIRD MILLENNIUM ASSOCIATES INC: \$9,163.04, TRINE CONSTRUCTION CORP.: \$6,698,068.12, TRINE CONSTRUCTION CORP: \$1,027,636.41, TROTTER AND ASSOCIATES, INC: \$552,703.19, TRYON GOVERNMENTAL CONSULTING: \$24,000, UNITED RENTALS POWER &HVAC.: \$7,031.70, UNITED STATES POSTAL SERVICE: \$27,770.05, UPKEEP PROFESSIONAL: \$3,780.00, USA BLUEBOOK: \$20,071.78, VERIZON: \$14,369.12, VETERANS VAC SERVICES: \$8,775.00, VIKING CHEMICAL COMPANY: \$29,155.69, VILLAGE OF ISLAND LAKE: \$3,650.00, VONAGE: \$3,709.31, W. NUSHBAUM, INC.: \$6,728.15, WASTE MANAGEMENT: \$6,138.18, WEST SIDE TRACTOR SALES: \$2,711.67, WEX FLEET UNIVERSAL: \$9,076.70, WIX WOODWORKS: \$4,195.00, XYLEM WATER SOLUTIONS, INC: \$5,238.

TOTAL: \$4,617.188.95, OTHERS \$2,500 AND LESS: \$113,089.87. TOTAL PAYMENTS: \$4,617,188.95

SUMMARY STATEMENT OF CONDITION (Excerpt from Comptroller Report AFR) Fiscal Year Ending April 30, 2024

	Enterprise - Sewerage
Revenues	\$ 4,587,826
Expenditures	\$ 3,024,521
Excess of Revenues Over	
(Under) Expenditures	\$ 1,563,305
Transfers In	
Transfers Out	
Bond Proceeds	
Other	\$ 1,440,162
Net Increase (Decrease)	
in Fund Balance	\$ 123,143
Fund Balance on May 1, 2023	\$ 45,789,277
Net Increase (Decrease)	
from	
Operations/Contributions	
Fund Balance on April 30, 2024	\$ 45,912,420

Total Debt						
Outstanding			Outstanding			
at	Issued	Retired	at			
May 1, 2023			April 30, 2024			
\$2,570,000		\$ 390,000	\$ 7,412,220			

Subscribed and sworn to me this 07th day of October 2024

Caretina Tellez, Treasurer

I, Elisa Fisher, Clerk of the Northern Moraine Wastewater Reclamation District, Island Lake Illinois, do hereby certify that the above is a true copy of the Annual Treasurer's Report for the fiscal year ending April 30, 2023.

Elisa Fisher, District Clerk Northern Moraine Wastewater Reclamation District



AGENDA ITEM # 10B

Meeting Date:	October 7, 2024
<u>Item:</u>	Payment Request #2 – Holiday Hills /Le Villa Vaupell Sewer Extension Project – Phase 2
Staff Recommendation:	Motion to approve Pay Request #2 in the amount of \$385,887.92 to Trine Construction for the Holiday Hills / Le Villa Vaupell Sewer Extension – Phase 2 Project.
Staff Contact:	Mohammed M. Haque, District Manager

Background:

On October 1, 2024, the Northern Moraine Wastewater Reclamation District received a payment recommendation for pay application #2 on the Holiday Hills / Le Villa Vaupell Sewer Extension – Phase 2 Project that has been reviewed and approved by Trotter & Associates. The payment request, net of past payments and retainage, is \$385,887.92. Certified payroll transmittal receipts are pending and the payment is contingent upon receipt of those.

This pay application is for roughly 50% of the dewatering. Dewatering wells are currently being finished up and are expected to be completed within the next couple weeks. Sewer installation work should be started within the next 3-4 weeks, once the dewatering system is started and has about 10-15 days of operation.

Recommendation:

It is the recommendation of the District Manager to Approve Payment Request #2 for the Holiday Hills / Le Villa Vaupell Sewer Extension- Phase 2 Project in the amount of \$385,887.92 contingent upon receipt of the certified payroll transcripts.

Votes Required to Pass:

Simple Majority, via a roll call vote









Transmittal Sheet

To:	Mohammed Haque	From:	Dan Gillespie
	Northern Moraine Wastewater		Trotter and Associates, Inc.
	Reclamation District		
	113 Timber Trail		40W201 Wasco Road, Suite D
	Island Lake, Illinois 60042		St. Charles, Illinois 60175
			(630) 587-0470

Date:	October 1, 2024	Project:	NMW104 – Holiday Hills/Le Villa Vaupell Sewer
			Extension – Phase 2

Enclosed please find the following documents/information:

1	Recommendation of Payment
1	Pay Request
1	Certified Payroll
1	Pay app waivers

The above documents/information are submitted:

As requested	For your signature
X For your approval	For your information and files

Comments:

Mohammed,

Please find a copy of Recommendation of Payment #2, which includes the Payment Application forms, Certified Payroll, and the Waivers for the Holiday Hills/Le Villa Vaupell Sewer Extension – Phase 2 Project.

<u>Please note payment to the contractor should be withheld until the certified payroll</u> comments have been rectified.

Please contact me if you have any questions.

Thank you, Dan Gillespie

40W201 Wasco Rd., Suite D St. Charles, IL 60175 O: 630.587.0470

RECOMMENDATION OF PAYMENT NO. 2 ENGINEER'S PROJECT NO: NMW-104

NORTHERN MORAINE WASTEWATER RECLAMATION DISTRICT

CONTRACTOR: TRINE CONSTRUCTION CORP. CONTRACT FOR: HOLIDAY HILLS/LE VILLA VAUPELL SEWER EXTENSION – PHASE 2

APPLICATION DATE:September 23, 2024PERIOD ENDING:September 23, 2024

APPLICATION AMOUNT: <u>\$385,887.92</u>

TO: <u>Northern Moraine Wastewater Reclamation District</u> OWNER

Attached hereto is the CONTRACTOR'S Application for Payment for Work accomplished under the Contract through the date indicated above.

Based on the following this Application meets the requirements of the Contract Documents: The Districts onsite review of the quality and progression of the work, verification of pay item quantities with the Contractor, and approval of extra work. TAI has confirmed submittals have been received for work included on this application, Grant requirements associated with payment processing is being met, certified payroll has been provided and waivers are acceptable.

We recommend that CONTRACTOR'S Certificate stating that all previous payments to him under the Contract have been applied by him to discharge in full all of his obligations in connection with the work covered by all prior Applications for Payments be obtained.

In accordance with the Contract, the undersigned recommends payment to the CONTRACTOR of the amount due as shown below.

TROTTER & ASSOCIATES, INC. ENGINEER

DATED: October 1, 2024

BY:

Daniel Gillespie Construction Engineering Manager

STATEMENT OF WORK

Original Contract Price	\$4,060,303.28
Net Change Orders	\$0.00
Current Contract Price	\$4,060,303.28
Work to Date	\$626,819.21
Work to be Done	\$3,433,484.07
Amount Retained (10%)	\$62,681.92
Subtotal	\$564,137.29
Previous Payments	\$178,249.37
Amount Due This Payment	\$385,887.92

APPLICA	TION AND CERTIFICATION FOR PAYMENT	AIA	DOCUMENT G702	PAGE ONE O	F PAGES
TO GC:	NORTHERN MORAINE WASTEWATER RECLAMATION DISTRICT	PROJECT: HOLIDAY HILLS/LE VILLA VAUPELL SEWER EXTENSION PHASE 2	APPLICATION N	NO: 2	Distribution to:
	113 TIMBER TRAIL PO BOX 240				XOWNER
FROM:	ISLAND LAKE, IL 60042 TRINE CONSTRUCTION CORP.	VIA ARCHITECT: TROTTER AND ASSOCIATES	PERIOD TO:	September 23, 2024	
	101 TRINE CT. ST. CHARLES, IL 60174	40W201 WASCO RD ST CHARLES, IL, 60174	PROJECT NO:	NMW-082	
CONTRAC	CT FOR: Water Main Replacement		CONTRACT DAT		

CONTRACTOR'S APPLICATION FOR PAYMENT

4. SUB-TOTAL-....\$

5. RETAINAGE:

b.

1. ORIGINAL CONTRACT SUM

2. Net change by Change Orders

3. CONTRACT SUM TO DATE (Line '.....

TOTAL COMPLETED & STORED TO DATE

% of Stored Material. . . .

Total Retainage (Lines 5a + 5b or

Total in Column I of G703)

PAYMENT (Line 6 from prior Ce.....

6. TOTAL EARNED LESS RETAINAG

8. CURRENT PAYMENT DUE

9. BALANCE TO FINISH, INCLUDING RETAINAGE

(Colum G on G703)

a. 10.00 % of Completed Work....

(Column D + E on G703)

(Line 4 Less Line 5 Total)

CHANGE ORDER SUMMARY

NET CHANGES by Change Order

7. LESS PREVIOUS CERTIFICATES FOR

(Column F on G703)

(Line 3 less Line 6)

Total changes approved in previous months by GC

Total approved this Month

TOTALS

Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, AIA Document G703, is attached.

\$62.681.92

\$4.060.303.28

\$4,060,303.28

\$4.060.303.28

\$626,819.21

\$62,681.92

\$564,137.29

\$178,249,37

\$385.887.92

\$3,496,165,99

DEDUCTIONS

\$

\$

\$

\$

\$0.00

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

Contractor: Trine Construction Corp.

Shamus Barney, Finance Manager

Date: 9/23/24

State of Illinois County of: DuPage Subscribed and sworn to before me this 23rd of September, 2024 Notary Public: Ongelo-Blonkor My Commission expires:

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED\$

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.) ARCHITECT:

By:

Date:

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

AIA DOCUMENT G702 · APPLICATION AND CERTIFICATION FOR PAYMENT · 1992 EDITION · AIA · @1992

ADDITIONS

\$

\$

\$

THE AMERICAN INSTITUTE OF ARCHITECTS, 1735 NEW YORK AVE., N.W., WASHINGTON, DC 20006-5292

ANGELA BLONIARZ OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires December 09, 2026

CONTINUATION SHEET

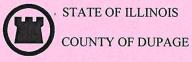
AIA DOCUMENT G703

APPLICATION DATE: September 23, 2024 PERIOD TO: PROJECT NO:

9/23/24 NMW-082

A	В			С	1	D	E ;	F	G	Н	Г Т Т Т	J
ITEM	DESCRIPTION OF WORK	QUANITY	UNIT		BID		COMPLETE PREVIOUS ESTIMATE		COMPLETED THIS ESTIMATE		COMPLETED TO DATE	
NO.				UNIT PRICE	1	TOTAL	QUANITY	TOTAL	QUANITY	TOTAL	QUANITY	TOTAL
1	CLASS D PATCH, 6"	7,517.00	SY	\$67.46	\$	507,096.82	0.00			\$ -	0.00	
2	CONNECTION TO EXISITING SEWER, 10"		EA	\$12,100.00	\$	12,100.00	0.00	\$ -		\$ -	0.00	
3	DEWATERING	1.00		\$834,252.98		834,252.98	0.00	\$ -	0.47	\$ 392,098.90	0.47	
4	REPLACEMENT	178.00		\$15.42		2,744.76	0.00	\$-	1	\$-	0.00	
5	HMA DRIVEWAY REMOVAL AND REPLACEMEN			\$53.97		65,573.55	0.00	\$-		\$-	0.00	\$ -
6	HMA SURFACE REMOVAL, 2"	1,285.00		\$10.60		13,621.00	0.00			\$ -	0.00	
	HMA PAVEMENT SURFACE COURSE, 2", N50 INLET PROTECTION	1,285.00		\$29.56		37,984.60	0.00			\$	0.00	
9	MOBILIZATION		EA	\$333.03		1,332.12	0.00				0.00	
		1.00		\$243,022.15		243,022.15	0.75			\$	0.75	
10	PCC DRIVEWAY REMOVAL AND REPLACEMENT POROUS GRANULAR EMBANKMENT		SY	\$257.00		4,626.00	0.00			<u>\$</u>	0.00	
<u>11</u> 12	POROUS GRANULAR EMBANKMENT	100.00		\$32.13		3,213.00	0.00				0.00	
!4	REMOVAL AND DISPOSAL OF UNSUITABLE	10.00	EA	\$832.25	13	8,322.50	0.00	\$		\$	0.00	<u> </u>
13	MATERIALS	100.00	cv	\$25.70	e	2,570.00	0.00	¢		¢		•
14	REMOVE AND REPLACE 12" CMP FES	1.00		\$25.70		355.87	0.00 :			<u>\$</u>	0.00	-
15	REMOVE AND REPLACE 15" CMP FES		EA	\$383.82		767.64	0.00			\$\$	0.00	
16	REMOVE AND REPLACE 18" CMP FES		EA	\$417.55		417.55	0.00			\$ \$	0.00	
17	REMOVE AND REPLACE 12" CMP CULVERT	163.00		\$101.72		16,580.36	0.00			\$-	0.00	
18	REMOVE AND REPLACE 15" CMP CULVERT	26.00	LF	\$106.95		2,780.70	0.00			\$ -	0.00	
19	REMOVE AND REPLACE 18" CMP CULVERT	72.00	LF	\$124.51	\$	8,964.72	0.00	\$ -		\$ -	0.00	
20	REMOVE AND REPLACE CATCH BASIN	: 1.00	EA	\$4,856.13		4,856.13	0.00			\$ -	0.00	
	REMOVE AND REPLACE LANDSCAPE (1111 NE				[1					
21	SHORE DR)	1.00	LS	\$1,092.25	\$	1,092.25	0.00	\$		\$ -	0.00	\$
	REMOVE AND REPLACE LANDSCAPE (1307 NE								1		1	
22	SHORE DR)	1.00	LS	\$1,092.25	\$	1,092.25	0.00	<u>\$</u>		\$	0.00	ş -
	REMOVE AND REPLACE LANDSCAPE (1511											
23	PINE ST) REMOVE AND REPLACE LANDSCAPE (2509	1.00	LS	\$1,092.25	\$	1,092.25	0.00	<u>\$</u> -		\$	0.00	<u> </u>
24	REMOVE AND REPLACE LANDSCAPE (2509 BIRCHWOOD AVE)	1.00										
	REMOVE AND REPLACE LANDSCAPE (2609 NE	1.00	LS	\$1,092.25	\$	1,092.25	0.00	5		\$	0.00	<u>-</u>
25	SHORE DR)	1.00	1.0	64 000 05		4 000 05	0.00	•				
25	REMOVE AND REPLACE SPLIT RAIL FENCE	548.00	LS	\$1,092.25 \$1.29		1,092.25 706.92	0.00			<u>\$</u>	0.001	
27	SANITARY DROP MANHOLE, 4' DIAMETER		EA	\$15,900.40		63.601.60	0.00			<u>s -</u> s -	0.00	
28	SANITARY MANHOLE, 4' DIAMETER		EA	\$8,381.18		176,004.78	0.00				0.00	**********
28 29	SANITARY SERVICE, 6" PVC SDR-26	3.513.00		\$127.02		446,221.26	0.00			\$	0.001	
30	SANITARY SEWER TV INSPECTION	6,230.00		\$6.43		40.058.90	0.00	***************************************		s - s -	0.00	•
31	SANITARY SEWER, 10" PVC C900	821.00	LF	\$256.35	\$	210,463.35	0.00	\$ -		\$ - 1	0.00	
32	SANITARY SEWER, 10" PVC SDR 26	1,654.00	LF	\$235.89	\$	390,162.06	0.00	\$-		\$ -	0.00	
33	SANITARY SEWER, 8" PVC C900	5.00	LF	\$377.73	\$	1.888.65	0.00	\$ -		\$ -	0.00	*******
34	SANITARY SEWER, 8" PVC SDR-26	3,750.00	LF	\$192.31	\$	721,162.50	0.00	\$ -		\$ -	0.00	
35	SILT FENCE	6,533.00	LF	\$6.75	\$	44,097.75	2,339.00	\$ 15,788.25	4,194.00	\$ 28,309.50	6533.00	\$ 44,097.75
	THERMOPLASTIC PAVEMENT MARKING - LINE				[1	*****
36	24"	69.00	LF	\$57.83	\$	3,990.27	0.00	\$ -		\$	0.00	÷ -
	TOPSOIL, SEEDING CLASS 1, EROSION											
37	CONTROL & BLANKET, 4"	3,123.00	SY	\$12.85	\$	40,130.55	0.00	\$		<u>\$</u>	0.00	<u>è - </u>
	TOPSOIL, SEEDING CLASS 1, EROSION											
38	CONTROL & BLANKET, 6"	3,215.00		\$15.42		49,575.30	0.00			\$	0.00	
39 40	TRAFFIC CONTROL & PROTECTION TREE PROTECTION	1.00 14.00	LS	\$83,559.50		83,559.50	0.00		0.10		0.10	
40	TREE REMOVAL (6 TO 15 UNITS DIAMETER)		UNIT	\$192.75 \$57.83		2,698.50 1,734.90	0.00 0.00	\$		<u>\$</u>	0.00	
42	TREE REMOVAL (OVER 15 UNITS DIAMETER)		UNIT	\$83.53		7,517.70	0.00	γ \$	······	<u>\$</u>	0.00	
43	TRENCH BACKFILL	8,509.00		\$0.01		85.09	0.00	\$		<u> </u>	0.00	
	D PRICE		····· · ······		\$	4,060,303.28	0.00			\$ 428,764.35		

WAIVER OF LIEN TO DATE



Gty #

Escrow #

TO WHOM IT MAY CONCERN:

WHEREAS the undersigned has been employed by Norhtern Moraine Wastewater Reclamation District to furnish Underground Utilities for the premises known as Holiday Hills/ Le Villa Vaupell Sewer Extension Phase 2

of which Northern Moraine Wastewater Reclamation District is the owner.

THE undersigned, for and in consideration of Three Hundred Eighty Five Thousand Eight Hundred Eighty Seven and

.92/100

(\$385,887.92) Dollars, and other good and valuable considerations, the receipt whereof is hereby acknowledged, do(es) hereby waive and release any and all lien or claim of, or right to, lien, under the statutes of the State of Illinois, relating to mechanics' liens, with respect to and on said above-described premises, and the improvements thereon, and on the material, fixtures, apparatus or machinery furnished, and on the moneys, funds or other considerations due or to become due from the owner, on account of all labor, services, material, fixtures, apparatus or machinery, furnished to this date by the undersigned for the above-described premises, **INCLUDING EXTRAS.***

DATE 9/23/24 COMPANY NAME TRINE CONSTRUCTION CORP. ADDRESS 1041 TRINE CT, SUITE A, ST. CHARLES IL, 60174 SIGNATURE AND TITLE Sherme Finance Manager

*EXTRAS INCLUDE BUT ARE NOT LIMITED TO CHANGE ORDERS, BOTH ORAL AND WRITTEN, TO THE CONTRACT

CONTRACTOR'S AFFIDAVIT

STATE OF ILLINOIS

COUNTY OF DUPAGE

TO WHOM IT MAY CONCERN:

THE UNDERSIGNED, (NAME) SHAMUS BARNEY BEING DULY SWORN, DEPOSES AND SAYS THAT HE OR SHE IS (POSITION) FINANCE MANAGER OF

(COMPANY NAME) TRINE CONSTRUCTION CORP WHO IS THE

CONTRACTOR FURNISHING UNDERGROUND UTILITIES WORK ON THE BUILDING

LOCATED AT HOLIDAY HILLS/ LE VILLE VAUPELL SEWER EXTENSION PHASE 2

OWNED BY NORTHERN MORAINE WASTEWATER RECLAMATION DISTRICT

That the total amount of the contract including extras* is \$4,060,303.28 on which he or she has received payment of \$178,249.337 prior to this payment. That all waivers are true, correct and genuine and delivered unconditionally and that there is no claim either legal or equitable to defeat the validity of said waivers. That the following are the names and addresses of all parties who have furnished material or labor, or both, for said work and all parties having contracts or sub contracts for specific portions of said work or for material entering into the construction thereof and the amount due or to become due to each, and that the items mentioned include all labor and material required to complete said work according to plans and specifications:

NAMES AND ADDRESSES	WHAT FOR	CONTRACT PRICE INCLDG EXTRAS*	AMOUNT PAID	THIS PAYMENT	BALANCE DUE
FOR COMPLETE LISTING SEE PAGE 2 OF 2					
ATTACHED					
TOTAL LABOR AND MATERIAL INCLUDING EXTRAS* 1	TO COMPLETE.	Carlo Martine Sta			

That there are no other contracts for said work outstanding, and that there is nothing due or to become due to any person for material, labor or other work of any kind done or to be done upon or in connection with said work other than above stated.

DATE 9-23-24

SIGNATURE: Shorman Program

SUBSCRIBED AND SWORN TO BEFORE ME THIS

*EXTRAS INCLUDE BUT ARE NOT LIMITED TO CHANGE ORDERS, BOTH ORAL AND WRITTEN, TO THE CONTRACT.

f.1722 R5/96

Provided by Chicago Title Insurance Company

ANGELA BLONIARZ OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires December 09, 2026

DAY OF September

WAIVER OF LIEN TO DATE

FROM: Trine Construction Corp. FOR: Holiday Hills Pay Est. 2 Sheet 2 of 2

NAMES AND ADDRESSES	WHAT FOR	CONTRACT PRICE WITH EXTRAS	AMOUNT PAID	THIS PAYMENT	BALANCE
Trine Construction Corp.	Equip, Labor and Other	\$2,617,330.03	\$178,249.37	\$381,877.56	\$2,057,203.10
Mid American	Appurants	\$197,993.75	\$0.00	\$135.36	\$197,858.39
Welch Bros.	Structures/Casting	\$56,110.00	\$0.00	\$0.00	\$56,110.00
Thelen Materials	Dumps/Aggregates	\$250,000.00	\$0.00	\$0.00	\$250,000.00
Geske	Asphalt and Concrete	\$459,704.50	\$0.00	\$0.00	\$459,704.50
Mersino	Dewatering	\$380,000.00	\$0.00	\$0.00	\$380,000.00
Reliable Landscape	Landscaping	\$80,560.00	\$0.00	\$0.00	\$80,560.00
Highstar	Traffic Control	\$18,605.00	\$0.00	\$3,875.00	\$14,730.00
TOTAL LABOR AND MATERIA	AL INCLUDING EXTRAS* T	\$4,060,303.28	\$178,249.37	\$385,887.92	\$3,496,165.99



AGENDA ITEM # 10C

Meeting Date:	October 7, 2024
<u>Item:</u>	Approval of District Insurance Policy Renewals
<u>Staff Recommendation</u> :	Motion to enter into policy agreements with Illinois Counties Risk Management Trust for Property, Liability, Automobile, Crime and Inland Marine Coverage for an amount of \$50,212 from December 1, 2024 to December 1, 2025; Lloyd's of London for Cyber Liability Coverage for an amount of \$5,590 from December 1, 2024 to December 1, 2025; Voyager Indemnity Insurance for Flood Insurance for an amount of \$12,774 from December 1, 2024 to December 1, 2025 and Illinois Counties Risk Management Trust for Workers Compensation Insurance for \$11,221 from December 1, 2024 to December 1, 2025 and Assured Partners for an Agency Fee of \$8,000 from December 1, 2024 to December 1, 2025, and to authorize the District Manager execute agreements to bind coverage.
Staff Contact:	Mohammed M. Haque, District Manager

Background:

In March of 2020, the District changed our insurance policies to receive package coverage via Assured Partners (previously known as Corkill Insurance) brokers. They have been able to provide us a good package that includes ICRMT as the base for the policy, supplemented by other carriers for the Cyber Liability and Flood policies. The insurance policy with ICRMT was adjusted by adding on additional infrastructure (Holiday Hills Lift Station) and other assets that were purchased or built in the last year. The net effect of that was a change in the premium from \$44,050 to \$50,212. Flood insurance on the Control Buildign and the Dewatering building has increased nominally from \$12,377 to \$12,774. At my request, the Workers Compensation policy was shopped extensively because I had seen a large increase in the rates in recent years. Assured did a great job to get this back in line with premiums we have seen in past years. This will be a change from our past insurer (Illinois Public Risk Fund) to ICRMT with a change in the premium from \$27,839 to \$11,221, reflecting a 60% decrease in this premium. Cyber Liability has gone from \$3,509 to \$5,590 with the same carrier as before, reflecting market risks. Assured Partner's premium remains the same. Below is a summary of the premiums. In summary, the rates for these policies have gone down by 8%, while our total assets under insurance has gone up by 4%. Deductibles have increased from \$2,500 to \$5,000 for wind & hail damage and equipment breakdowns. Overall we are happy with the proposal and coverage being offered.

Expired Proposed Premium Premium ICRMT - Package/Auto/Excess \$44,050 \$50,212 14% Voyager - Flood \$12.774 3% \$12.377 ICRMT - Workers' Compensation* \$11,221 -60% \$27,839 Lloyd's - Cyber Liability \$5,590 59% \$3,509 Assured Partners Agency Fee \$8,000 \$8,000 0% **Total Premium** \$95,775 \$87,797 -8%

PREMIUM SUMMARY





Email: info@nmwrd.org Web: www.nmwrd.org



Alignment with Strategic Plan:

Maintaining robust general liability, cyber insurance and property insurance supports several goals of the District's Strategic Plan including:

- The District provides sound business operations.
- Adopt robust fiscal operations, programs and partners to support business efficiency, continuity and recovery.

Recommendation

It is staff's recommendation to enter into policy agreements with Illinois Counties Risk Management Trust for Property, Liability, Automobile, Crime and Inland Marine Coverage for an amount of \$50,212 from December 1, 2024 to December 1, 2025; Lloyd's of London for Cyber Liability Coverage for an amount of \$5,590 from December 1, 2024 to December 1, 2025; Voyager Indemnity Insurance for Flood Insurance for an amount of \$12,774 from December 1, 2024 to December 1, 2024 to December 1, 2025 and Illinois Counties Risk Management Trust for Workers Compensation Insurance for \$11,221 from December 1, 2024 to December 1, 2025 and Assured Partners for an Agency Fee of \$8,000 from December 1, 2024 to December 1, 2025, and to authorize the District Manager execute agreements to bind coverage.

Votes Required to Pass

Simple Majority, via a Roll Call Vote





Email: info@nmwrd.org Web: www.nmwrd.org

<u>RESOLUTION</u>

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE NORTHERN MORAINE WASTEWATER RECLAMATION DISTRICT that the District Manager is authorized to execute an agreement between the Northern Moraine Wastewater Reclamation District and Illinois Counties Risk Management Trust for Property, Liability, Automobile, Crime and Inland Marine Coverage for an amount of \$50,212 from December 1, 2024 to December 1, 2025; Lloyd's of London for Cyber Liability Coverage for an amount of \$5,590 from December 1, 2024 to December 1, 2025; Voyager Indemnity Insurance for Flood Insurance for an amount of \$12,774 from December 1, 2024 to December 1, 2025 and Illinois Counties Risk Management Trust for Workers Compensation Insurance for \$11,221 from December 1, 2024 to December 1, 2025 and Assured Partners for an Agency Fee of \$8,000 from December 1, 2024 to December 1, 2025, and to authorize the District Manager execute agreements to bind coverage.

DATED this 7th day of October , 2024

NORTHERN MORAINE WASTEWATER RECLAMATION DISTRICT, an Illinois Municipal Corporation,

By:_____ PRESIDENT

SEAL

ATTEST

DISTRICT CLERK



A PROPOSAL PREPARED FOR

Northern Moraine Wastewater Reclamation District 113 Timber Trail

Island Lake, IL 60042

Presented By

Dan Barnett

AssuredPartners 25 Northwest Point Boulevard, Suite 625 Elk Grove Village, IL 60007

> **Term** 12/1/2024 - 12/1/2025







ASSUREDPARTNERS SERVICE TEAM

Your Agents Dan Barnett Direct: (847) 427-7757

Email: dan.barnett@assuredpartners.com

Property & Casualty Service Team

Servicing your Commercial policies, including: Property, Liability, Auto, Management Liability

Andrew Link

Direct: (847) 258-6037 Email: andrew.link@assuredpartners.com

- Policy maintenance (i.e. adding vehicles)
- Billing and invoices

Todd Jones, SCLA

Direct: (847) 437-3690

- Claim Reporting
- Claim follow-up

Greg Crawford

Email: Gregory.Crawford@assuredpartners.com

Email: Todd.Jones@assuredpartners.com

Direct: (630) 571-6198 • Human Resources

Christina Anderson Direct: (847) 437-2983

- Safety Services
- Email: Christina.Anderson@assuredpartners.com

Mitch Backes

Cell: (224) 330-9054 Email: Mitch.Backes@assuredpartners.com

• Public Entity Managing Director



25 Northwest Point Blvd. Ste. 625 Elk Grove Village, IL 60007

Phone: (847) 758-1000 Fax: (847) 758-1200

Compensation Disclosure

AssuredPartners and its individual agents/producers are licensed as insurance producers by the various States where we are transacting insurance, which includes the sale, solicitation, and servicing of insurance business, as well as advising on the relative benefits of certain insurance policies and risk management programs. Our agency typically receives compensation from insurers in the form of commissions paid as a percentage of the premiums due the applicable insurance companies. Commissions can vary by insurance company, by volume of business placed with that company or the profitability thereof, and other factors. In other cases and depending on various State laws and the capacity in which our agency is acting, our agency may receive other forms of compensation from insurers, insurance intermediaries, premium finance companies and other vendors; such as contingents, overrides, profit-sharing, premium finance fees, expense reimbursements, producer subsidies, award trips, meetings and other incentives. We also earn interest on premiums we hold until it is time to pay the applicable insurance companies. Our overriding desire is to provide great customer service, having you, the customer, believe we have earned our compensation. We believe in full disclosure of our compensation. Accordingly, if you have any questions about the compensation we receive from your policies (including policies we propose to you), please just ask your account representative, who will gladly provide you a summary of our compensation arising from your policies (some estimation may be necessary, for example where contingents are involved).

We thank you for the opportunity to serve and appreciate your interest.

Northern Moraine Wastewater Reclamation District

Carrier Information

Carrier	Line of Coverage	A.M. Best Rating	Financial Size
IL Counties Risk Management Trust	General Liability, Auto, Property, Inland Marine, Management Liability, Crime, Umbrella, Workers' Compensation		
Voyager Indemnity Insurance Company	Flood	A+	XIV
Lloyd's of London	Cyber Liability	A+	XV

Level	Category	Level Category	Level	Category
A++, A+	Superior	B, BFair	D	Poor
A, A	Excellent	C++, C+Marginal	E Under F	Regulatory Supervision
B++, B+	Very Good	C, CWeak	F	In Liquidation
			S	Rating Suspended

	Financial Size Categories										
FSC I			Up to 1,000	FSC IX	250,000	to	500,000				
FSC II	1,000	to	2,000	FSC X	500,000	to	750,000				
FSC III	2,000	to	5,000	FSC XI	750,000	to	1,000,000				
FSC IV	5,000	to	10,000	FSC XII	1,000,000	to	1,250,000				
FSC V	10,000	to	25,000	FSC XIII	1,250,000	to	1,500,000				
FSC VI	25,000	to	50,000	FSC XIV	1,500,000	to	2,000,000				
FSC VII	50,000	to	100,000	FSC XV	2,000,000	or more					
FSC VIII	100,000	to	250,000								

(In \$000 of Reported Policyholders' Surplus Plus Conditional Reserve Funds)

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A Best's Financial Strength Rating opinion addresses the relative ability of an insurer to meet its ongoing insurance obligations. It is not a warranty of a company's financial strength and ability to meet its obligations to policyholders. View the A.M. Best Important Notice: Best's Credit Ratings for a disclaimer notice and complete details at http://www.ambest.com/ratings/notice.

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A Best's Financial Strength Rating (FSR) is an independent opinion of an insurer's financial strength and ability to meet its ongoing insurance policy and contract obligations. An FSR is not assigned to specific insurance policies or contracts and does not address any other risk, including, but not limited to, an insurer's claims-payment policies or procedures; the ability of the insurer to dispute or deny claims payment on grounds of misrepresentation or fraud; or any specific liability contractually borne by the policy or contract holder. An FSR is not a recommendation to purchase, hold or terminate any insurance policy, contract or any other financial obligation issued by an insurer, nor does it address the suitability of any particular policy or contract for a specific purpose or purchase. In addition, an FSR may be displayed with a rating identifier, modifier or affiliation code that denotes a unique aspect of the opinion.

Rating Categories	Rating Symbols	Rating Notches*	Category Definitions
Superior	A+	A++	Assigned to insurance companies that have, in our opinion, a superior ability to meet their orgoing insurance obligations.
Excellent	A	A-	Assigned to insurance companies that have, in our opinion, an excellent ability to meet their orgoing insurance obligations.
Good	B+	B++	Assigned to insurance companies that have, in our opinion, a good ability to meet their orgoing insurance obligations.
Fair	В	B-	Assigned to insurance companies that have, in our opinion, a fair ability to meet their ongoing insurance obligations. Financial strength is vulnerable to adverse changes in underwriting and economic conditions.
Marginal	C+	C++	Assigned to insurance companies that have, in our opinion, a marginal ability to meet their ongoing insurance obligations. Financial strength is vulnerable to adverse changes in underwriting and economic conditions.
Weak	С	C-	Assigned to insurance companies that have, in our opinion, a weak ability to meet their ongoing insurance obligations. Financial strength is very vulnerable to adverse changes in underwriting and economic conditions.
Poor	D	2	Assigned to insurance companies that have, in our opinion, a poor ability to meet their ongoing insurance obligations. Financial strength is extremely vulnerable to adverse changes in underwriting and economic conditions.

* Each Best's Financial Strength Rating Category from "A+" to "C" includes a Rating Notch to reflect a gradation of financial strength within the category. A Rating Notch is expressed with either a second plus "+" or a minus "-

Financial Strength Non-Rating Designations	
Designation	De

Symbols	Definitions
E	Status assigned to insurers that are publicly placed, via court order into conservation or rehabilitation, or the international equivalent, or in the absence of a court order, clear regulatory action has been taken to delay or otherwise limit policyholder payments.
E	Status assigned to insurers that are publicly placed via court order into liquidation after a finding of insolvency, or the international equivalent.
S	Status assigned to rated insurance companies to suspend the outstanding FSR when sudden and significant events impact operations and rating implications cannot be evaluated due to a lack of timely or adequate information; or in cases where continued maintenance of the previously published rating opinion is in violation of evolving regulatory requirements.
NR	Status assigned to insurance companies that are not rated; may include previously rated insurance companies or insurance companies that have never been rated by AM Best.

esignation

Rating Disclosure - Use and Limitations

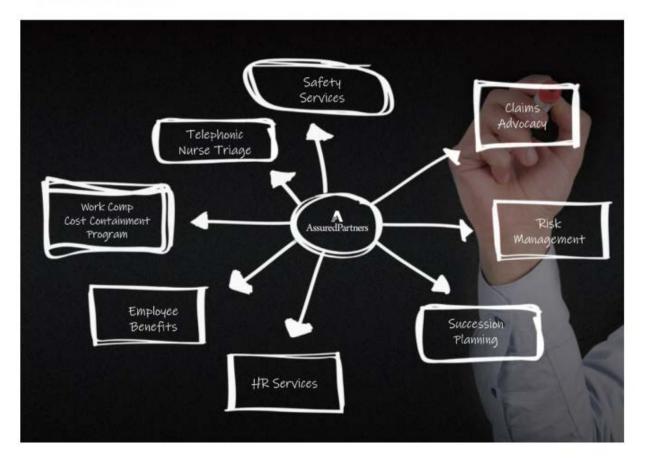
A Best's Credit Rating (BCR) is a forward-looking independent and objective opinion regarding an insurer's, issuer's or financial obligation's relative creditworthiness. The opinion represents a comprehensive analysis consisting of a quantitative and qualitative evaluation of balance sheet strength, operating performance, business profile and enterprise risk management or, where appropriate, the specific nature and details of a security. Because a BCR is a forward-looking opinion as of the date it is released, it cannot be considered as a fact or guarantee of future credit quality and therefore The specific name and details of a second, because a burn a norward-boxing opinion is released, in carino de calculated as a burn is accessed in the detail of a second particle of the detail of the relative creditworthiness, it is not an indicator or predictor of defined impairment or default probability with respect to any specific insurer, issuer or financial obligation. A BCR is not investment advice, nor should it be construed as a consulting or advisory service, as such; it is not intended to be utilized as a recommendation to purchase, hold or terminate any insurance policy, contract, security or any other financial obligation, nor does it address the autability of any particular policy or contract for a specific purpose or purchaser. Users of a BCR should not rely on it in making any investm decision; however, if used, the BCR must be considered as only one factor. Users must make their own evaluation of each investment decision. A BCR opinion is provided on an "as is" basis without any expressed or implied warranty. In addition, a BCR may be changed, suspended or withdrawn at any time for any reason at the sole discretion of AM Best.

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Version 121719



Service Model

AssuredPartners partners with each client to provide customized solutions by finding the best fit that makes the most sense.



Marketing Service Plan

- Dan Barnett, Agent
- Andrew Link, Executive Account Manager
- Present the account to the marketplace in the most aggressive manner possible.
- Handle the renewal process; work with carriers to ensure the coverage provided is the most comprehensive and competitive program available and is the most compatible with client need.
- Build a successful and sustaining relationship by providing prompt, accurate and courteous first line customer support.
- Order and issue binders, certificates, policies, endorsements and other related items and verify accuracy.
- Review audits and verify accuracy.
- Provide accurate details and resolutions for any billing issues.
- Provide contract review to ensure the coverage requirements are being met for all jobs.
- Provide attention to detail and accurate record keeping.

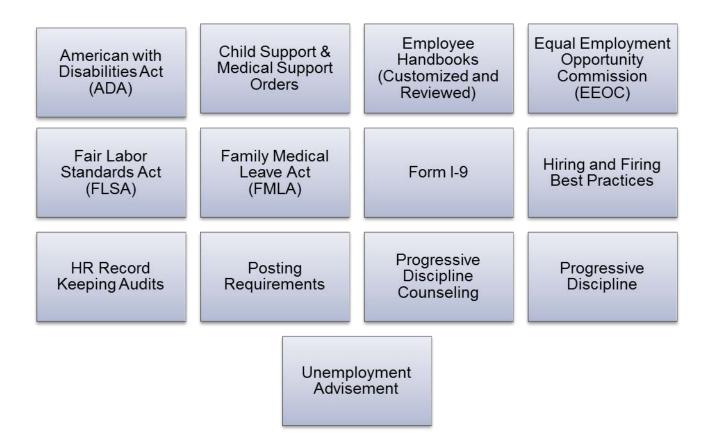
Safety Service Plan

- Christina Anderson, Safety Director
- Provide safety and health training that includes a solid foundation of safety protocol, awareness, and practice.
- Work with supervisors and managers as a valuable resource for answers to any questions or provide guidance as needed.
- Provide expertise in governmental regulations and the workings of government agency. inspections and negotiation processes, and act as liaison with government agencies (e.g., OSHA).
- Analyze accidents to identify causes and means for prevention.
- Analyze injury and illness trends to identify and prevent common cause patterns.
- Develop strategies to minimize loss frequency and financial impact of losses.

Human Resource Service Plan

• Greg Crawford, Human Resources

Compliance Guidelines and Assistance



Claims Service Plan

- Todd Jones, Claims Manager Dan Soderlund, Liability Claims Analyst Alexis Ford, Workers' Compensation Claims Manager Mark Mendenhall, Workers' Compensation Claims Analyst
- Superior technical claim expertise in the areas of Workers' Compensation, General Liability, Product/Operations Liability, Auto Liability, and Property coverages.
- Competitive claim mitigation programs for all lines of business.
- Aggressive claim reporting, follow-up and oversight for all lines of business.
- Regular claim reviews every 30-60 days or as needed.
- Utilization of third party programs to mitigate costs, claims, and OSHA recordable injuries through partnerships with Medcor, CompCorePro, and Corvel at no additional cost.
- Leverage relationships with law firms and mitigation companies as needed.
- · Claims adjusting services for minor claims/accidents when claims fall under deductibles.







Property Schedule

Insurance Company: Policy Term: Illinois Counties Risk Management Trust 12/1/2024 - 12/1/2025

Mailing Address

Northern Moraine Wastewater Reclamation District 113 Timber Trail Island Lake, IL 60042

LOC #	DESCRIPTION	ADDRESS	OCCU- PANCY	VALUATION	BUILDING VALUE	BPP VALUE SP VALUE	DEDUCTIBLE
01.01	South Shore Lift Station	230 South Shore Drive	Water & Sewer	Replacement Cost / Margin	\$189,732	\$0	\$5,000
		Island Lake , IL 60042	Treatmen t	Clause			Wind: \$5,000
01.02	PIO - Generator	230 South Shore Dr.	Electrical	Replacement Cost / Margin	\$37,946	\$0	\$5,000
		Island Lake, IL 60042		Clause			Wind: \$5,000
02.01	Fern Lift Station	206 Fern Dr. Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$275,418	\$0	\$5,000
		60042	Treatmen t	Clause			Wind: \$5,000
02.02	PIO - Generator	206 Fern Dr. Island Lake, IL	Electrical	Replacement Cost / Margin	\$37,946	\$0	\$5,000
		60042		Clause			Wind: \$5,000
03.01	Westridge Lift Station	Westridge Drive & East State Rd.	Water & Sewer	Replacement Cost / Margin	\$233,799	\$0	\$5,000
	otation	Island Lake , IL 60042	Treatmen t	Clause			Wind: \$5,000
04.01	PIO - Generator	2544 Fen View Circle	Electrical	Replacement Cost / Margin	\$39,171	\$0	\$5,000
		Island Lake, IL 60042		Clause			Wind: \$5,000
04.01	Prairie Woods Lift Station	2544 Fen View Circle	Water & Sewer	Replacement Cost / Margin	\$239,920	\$0	\$5,000
	Station	Island Lake , IL 60042	Treatmen t	Clause			Wind: \$5,000
05.01	Burr Oak Lift Station	3314 Burr Oak Avenue	Water & Sewer	Replacement Cost / Margin	\$153,010	\$0	\$5,000
	Station	Station	Treatmen t	Clause			Wind: \$5,000
06.01	Water's Edge Lift Station	4320 Waters Edge Drive	Water & Sewer	Replacement Cost / Margin	\$189,732	\$0	\$5,000
	Station	Island Lake , IL 60042	Treatmen t	Clause			Wind: \$5,000

LOC #	DESCRIPTION	ADDRESS	OCCU- PANCY	VALUATION	BUILDING VALUE	BPP VALUE SP VALUE	DEDUCTIBLE
07.01	Lakemoor Lift Station #1	520 Wegner Rd. Lakemoor, IL	Water & Sewer	Replacement Cost / Margin	\$270,522	\$0	\$5,000
		60051	Treatmen t	Clause			Wind: \$5,000
07.02	PIO - Generator	520 Wegner Rd. Lakemoor, IL	Electrical	Replacement Cost / Margin	\$68,548	\$0	\$5,000
		60051		Clause			Wind: \$5,000
08.01	Clearwater Lift Station	Stone Drive McHenry, IL	Water & Sewer	Replacement Cost / Margin	\$130,977	\$0	\$5,000
		60051	Treatmen t	Clause			Wind: \$5,000
09.01	Rolling Oaks Lift Station	2900 Spruce Terrace	Water & Sewer	Replacement Cost / Margin	\$210,542	\$0	\$5,000
	Station	Island Lake, IL 60042	Treatmen t	Clause			Wind: \$5,000
09.02	PIO - Generator	2900 Spruce Terrace	Electrical	Replacement Cost / Margin	\$61,204	\$0	\$5,000
		Island Lake, IL 60042		Clause			Wind: \$5,000
10.01	Main Control Building	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$3,655,103	\$59,143	\$5,000
		60042	Treatmen t	Clause			Wind: \$5,000
10.02	PIO - Bollards, fencing, flag pole,	420 Timber Trail Island Lake, IL	Property in the	Replacement Cost / Margin	\$182,388	\$0	\$5,000
	fuel tank & lighting	60042	Open	Clause			Wind: \$5,000
10.03	Personnel Building	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$86,910	\$21,000	\$5,000
		60042	Treatmen	Clause			Wind: \$5,000
10.04	Aerobic Digester North	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$2,065,023	\$0	\$5,000
	HUIT	60042	Treatmen t	Clause			Wind: \$5,000

LOC #	DESCRIPTION	ADDRESS	OCCU- PANCY	VALUATION	BUILDING VALUE	BPP VALUE SP VALUE	DEDUCTIBLE			
10.05	Storage and Garage Building	420 Timber Trail Island Lake , IL	Storage	Replacement Cost / Margin	\$246,040	\$62,000	\$5,000			
		60042		Clause			Wind: \$5,000			
10.06	Concrete Sludge Drying Beds	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$288,883	\$0	\$5,000			
		60042	Treatmen				Clause			Wind: \$5,000
10.07	RAS Treatment Lift Station	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$257,057	\$76,885	\$5,000			
		60042	Treatmen t	Clause			Wind: \$5,000			
10. <mark>0</mark> 9	Influent Flow Meter Vault	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$53,860	\$0	\$5,000			
		60042	Treatmen t	Clause			Wind: \$5,000			
10.10	Secondary Clarifier North	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$2,602,394	\$0	\$5,000			
		60042	Treatmen	Clause			Wind: \$5,000			
10.11	Concrete Chlorine - Dechlorination	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$1,020,883	\$0	\$5,000			
	Tanks	60042	Treatmen t	Clause			Wind: \$5,000			
10. <mark>1</mark> 2	Belt Filter Press Building	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$2,809,264	\$65,000	\$5,000			
		60042	Treatmen	Clause			Wind: \$5,000			
10.14	Final Effluent Meter Flume	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$52,635	\$0	\$5,000			
		60042	Treatmen	Clause			Wind: \$5,00			
10.16	Oxidation Ditch Structure	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$6,935,637	\$0	\$5,000			
		60042	Treatmen t	Clause			Wind: \$5,000			

LOC #	DESCRIPTION	ADDRESS	OCCU- PANCY	VALUATION	BUILDING VALUE	BPP VALUE SP VALUE	DEDUCTIBLE
10.17	Treatment Plant Lift Station	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$206,870	\$0	\$5,000
	box box a contr	60042	Treatmen t	Clause			Wind: \$5,00
10.19	Chemical Feed Building	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$555,732	so	\$5,000
		60042	Treatmen t	Clause			Wind: \$5,00
10.20	Aerobic Digester	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$2,057,678	\$0	\$5,000
		60042	Treatmen t	Clause			Wind: \$5,00
10.21	Secondary Clarifier South	420 Timber Trail Island Lake , IL	Water & Sewer	Replacement Cost / Margin	\$2,608,514	\$0	\$5,000
	South	60042	Treatmen t	Clause	2		Wind: \$5,00
10.22	Dumpster Shed	420 Timber Trail Island Lake, IL	Water & Sewer	Replacement Cost / Margin	\$4,896	\$0	\$5,000
		60042	Treatmen t	Clause			Wind: \$5,00
10.23	Generator	420 Timber Trail Island Lake, IL	Electrical	Replacement Cost / Margin	\$242,368	\$0	\$5,000
		60042		Clause			Wind: \$5,00
10.24	Well	420 Timber Trail Island Lake, IL	Water & Sewer	Actual Cash Value	\$61,204	\$0	\$5,000
		60042	Treatmen t				Wind: \$5,00
10.25	Ras Flow Meter Vault	420 Timber Trail Island Lake, IL	Water & Sewer	Replacement Cost / Margin	\$48,963	\$0	\$5,000
	Vull	60042	Treatmen t	Clause			Wind: \$5,00
10.26	Northwest Concrete Sludge	420 Timber Trail Island Lake, IL	Water & Sewer	Actual Cash Value	\$122,408	\$0	\$5,000
	Drying Bed	60042	Treatmen t	Vulue			Wind: \$5,00

LOC #	DESCRIPTION	ADDRESS	OCCU- PANCY	VALUATION	BUILDING VALUE	BPP VALUE SP VALUE	DEDUCTIBLE
10.27	Central West Concrete Sludge Drying Bed	420 Timber Trail Island Lake, IL 60042	Water & Sewer Treatmen t	Actual Cash Value	\$122,408	\$147,000	\$5,000 Wind: \$5,000
10.28	Southwest Concrete Sludge Drying Bed	420 Timber Trail Island Lake, IL 60042	Water & Sewer Treatmen t	Actual Cash Value	\$122,408	50	\$5,000 Wind: \$5,000
10.29	Storage Shed - no coverage requested	420 Timber Trail Island Lake, IL 60042	Storage	Agreed Amount	\$0	\$0	\$5,000 Wind: \$5,000
11.01	Waterford Lift Station	Waterford Way @ Newport Dr Island Lake , IL 60042	Water & Sewer Treatmen t	Replacement Cost / Margin Clause	\$230,127	so	\$5,000 Wind: \$5,000
11.02	PIO - Generator	Waterford Way & Newport Dr. Island Lake, IL 60042	Electrical	Replacement Cost / Margin Clause	\$70,997	50	\$5,000 Wind: \$5,000
12.01	Hale Lift Station	3440 Hale Lane Island Lake , IL 60042	Water & Sewer Treatmen t	Replacement Cost / Margin Clause	\$181,164	50	\$5,000 Wind: \$5,000
12.02	PIO - Generator	3440 Hale Lane Island Lake, IL 60042	Electrical	Replacement Cost / Margin Clause	\$37,946	50	\$5,000 Wind: \$5,000
13.01	Hale Lift Station 2	3923 Hale Lane Island Lake , IL 60042	Water & Sewer Treatmen t	Replacement Cost / Margin Clause	\$182,388	50	\$5,000 Wind: \$5,000
13.02	PIO - Generator	3923 Hale Lane Island Lake, IL 60042	Electrical	Replacement Cost / Margin Clause	\$37,946	SO	\$5,000 Wind: \$5,000

LOC #	DESCRIPTION	ADDRESS	OCCU- PANCY	VALUATION	BUILDING VALUE	BPP VALUE SP VALUE	DEDUCTIBLE
14.01	Deer Grove Lift Station	2629 Wisteria Way	Water & Sewer	Replacement Cost / Margin	\$648,762	\$0	\$5,000
		Port Barrington, IL 60010	Treatmen t	Clause		Wind: S	Wind: \$5,000
14.02	PIO – Generator	2629 Wisteria Way	Electrical	Replacement Cost / Margin	\$45,240	\$0	\$5,000
		Port Barrington, IL 60010		Clause		Wind: \$5,	Wind: \$5,000
15.01	Lakemoor Lift Station 2	349 Herbert Lakemoor, IL	Water & Sewer	Replacement Cost / Margin	\$242,368	50	\$5,000
	Station 2	60051	Treatmen t	Clause			Wind: \$5,000
15.02	PIO - Light poles	349 Herbert Rd Lakemoor, IL	Property in the	Replacement Cost / Margin	\$3,672	\$0	\$5,000
		60051	Open	Clause			Wind: \$5,000
16.01	Lakemoor Lift	316 Venice Rd Lakemoor, IL	Water & Sewer	Replacement Cost / Margin	\$221,558	\$0	\$5,000
	Station 3	60051	Treatmen	Clause			Wind: \$5,000
16.02	PIO - Generator	316 Venice Rd Lakemoor, IL	Electrical	Replacement Cost / Margin	\$45,291	\$0	\$5,000
		60051		Clause			Wind: \$5,000
17.01	Lakemoor Lift Station 4	102 S Lakeshore Lakemoor, IL	Water & Sewer	Replacement Cost / Margin	\$220,334	\$0	\$5,000
	Station 4	60051	Treatmen t	Clause			Wind: \$5,000
18.01	Lakemoor Lift Station 5	534 Santa Barbara Rd	Water & Sewer	Replacement Cost / Margin	\$239,920	50	\$5,000
		Lakemoor, IL 60051	Treatmen t	Clause			Wind: \$5,00
19.01	Lakemoor Lift Station 6	28807 Wagon Trail Road	Water & Sewer	Replacement Cost / Margin	\$379,465	50	\$5,000
	Station o	Lakemoor, IL 60051	Treatmen t	Clause			Wind: \$5,000

LOC #	DESCRIPTION	ADDRESS	OCCU- PANCY	VALUATION	BUILDING VALUE	BPP VALUE SP VALUE	DEDUCTIBLE
19.02	PIO - Generator	28807 Wagon Trail Road Lakemoor, IL 60051	Electrical	Replacement Cost / Margin Clause	\$50,187	50	\$5,000 Wind: \$5,000
20.01	Lakemoor Lift Station 7	127 South Dr Lakemoor, IL 60051	Water & Sewer Treatmen t	Replacement Cost / Margin Clause	\$350,087	\$0	\$5,000 Wind: \$5,000
20.02	PIO - Generator & Wood Fencing	127 South Dr Lakemoor, IL 60051	Property in the Open	Replacement Cost / Margin Clause	\$80,789	\$0	\$5,000 Wind: \$5,000
21.01	Rawson Bridge Pump Station	100 Rawson Bridge Rd Port Barrington , IL 60010	Water & Sewer Treatmen t	Replacement Cost / Margin Clause	\$433,324	50	\$5,000 Wind: \$5,000
21.02	PIO - Bollards, fencing, light poles, & generator	100 Rawson Bridge Rd Port Barrington, IL 60010	Property in the Open	Replacement Cost / Margin Clause	\$16,506	50	\$5,000 Wind: \$5,000
22.01	Walnut Glen Lift Station	2285 Walnut Glen Blvd Island Lake , IL 60042	Water & Sewer Treatmen t	Replacement Cost / Margin Clause	\$323,157	50	\$5,000 Wind: \$5,000
22.02	PIO - Generator	2285 Walnut Glen Boulevard Island Lake, IL 60042	Electrical	Replacement Cost / Margin Clause	\$39,171	50	\$5,000 Wind: \$5,000
23.01	District Office	113 Timber Trail Island Lake , IL 60042	Office	Replacement Cost / Margin Clause	\$607,144	\$61,000	\$5,000 Wind: \$5,000
23.02	PIO - Bollards, fencing, flag pole, generator & lighting	113 Timber Trail Island Lake, IL 60042	Property in the Open	Replacement Cost / Margin Clause	\$62,428	50	\$5,000 Wind: \$5,000

LOC #	DESCRIPTION	ADDRESS	OCCU- PANCY	VALUATION	BUILDING VALUE	BPP VALUE SP VALUE	DEDUCTIBLE
23.03	Storage Barn	113 Timber Trail Island Lake , IL	Storage	Replacement Cost / Margin	\$290,107	\$82,000	\$5,000
	844.0	60042		Clause			Wind: \$5,000
23.04	Storage Canopy - no coverage	113 Timber Trail Island Lake, IL	Storage	Agreed Amount	\$0	\$0	\$5,000
	requested	60042					Wind: \$5,000
23.05	Well	113 Timber Trail Island Lake, IL	Water & Sewer	Actual Cash Value	\$61,204	\$0	\$5,000
		60042	Treatmen t	ruide			Wind: \$5,000
26.01	Woodman Lift	Ring Road Lakemoor, IL	Water & Sewer	Replacement Cost / Margin	\$359,880	\$0	\$5,000
	Station	60051	Treatmen	Clause	3339,000		Wind: \$5,000
26.02	PIO - Generator &	Ring Road Lakemoor, IL	Property in the	Replacement Cost / Margin	\$55,696	\$0	\$5,000
	railing	60051	Open	Clause			Wind: \$5,000
27.01	Effluent Lot	. IL	Water & Sewer	Replacement Cost / Margin	\$3,672	\$0	\$5,000
		,	Treatmen t	Clause			Wind: \$5,000
28.01	Port Barrington Low Pressure	Farnsworth Circle	Water & Sewer	Agreed Amount	\$0	\$0	\$5,000
	System - no coverage requested	Street Port Barrington, IL 60010	Treatmen t	Amount			Wind: \$5,000
29.01	Pump House	1532 Sunset Dr Holiday Hills, IL	Water & Sewer	Replacement Cost / Margin	\$629,926	SO	\$5,000
1913-1829- 191		60051	Treatmen t	Clause			Wind: \$5,000

TOTAL BUILDING VALUE	\$34,698,450
TOTAL BPP VALUE	\$574,028
TOTAL SOLAR PANELS	\$0
TOTAL INSURED VALUE	\$35,272,478

COVERAGE SUMMARY: PROPERTY

Blanket Limit of Insurance applies to scheduled and appraised Buildings and Business Personal Property that are valued on a Replacement Cost basis. Any property that has not yet been appraised by ICRMT is subject to the 125% Margin Clause. If the Margin Clause applies, in no event shall liability for any one occurrence for any Building, Structure or Business Personal Property at any one location exceed 125% of the individually stated value for such property as shown in the latest Statement of Values or other documentation on file with the Trust.

COVERED PROPERTY	LIMITS
Total Loss Limit per Occurrence	\$34,642,552
Building Value	\$34,068,524
Business Personal Property Including Stationary EDP	\$574,028
Solar Panels	\$0
Personal Property of Others	\$100,000
Newly Constructed or Acquired Property	\$1,000,000
Footbridges	\$100,000
Covered Property in Transit	\$1,000,000
Course of Construction	\$1,000,000
Deductible: \$5,000	

*Or as indicated on the Schedule

ADDITIONAL PROPERTY COVERAGES

Earth Movement, Volcanic Eruption, Landslide and Sul	bsidence \$5,000,000
Program Aggregate	\$250,000,000
Deductible: \$50,000 or 5% of the damaged location	; whichever is greater
Flood	\$5,000,000
Program Aggregate (Excluding Flood Zone A and V)	\$250,000,000
Deductible: \$50,000 per occurrence	
COVERED COSTS & EXPENSES	
Debris Removal (whichever is greater)	25% or \$500,000
Pollutant Cleanup and Removal (Aggregate in any one	Policy Year) \$100,000
Fire Department Service Charge	\$5,000
Fire Protection Equipment Discharge	\$5,000
Ordinance or Law Coverage	\$10,000,000
Preservation of Property	\$100,000
Protection of Property	\$100,000
Roofs 20 years old are valued at ACV	
Business Income/Extra Expense	\$1,000,000
Business Income/Extra Expense Increased Limits	\$0

COVERAGE SUMMARY: PROPERTY (cont.)

UPPLEMENT COVERAGE	E	LIMITS
Communication Towers		\$100,000
Trees, Shrubs, and Plants; s	ubject to a Maximum Per Item o	f:
Per Item		\$25,000
Per Occurre	ence	\$100,000
Golf Course Greens, Tees ar	nd Fairways	
Per Item		\$25,000
Per Occurre	ence	\$100,000
Contractors Equipment - No	on-Owned	
Per Item		\$100,000
Per Occurr	ence	\$250,000
Interruption of Computer O	perations	
Per occurre	ence	\$50,000
Annual Agg	gregate	\$100,000
Personal Effects Owned By	Employees	\$100,000
Retaining Walls and Other O	Outdoor Walls	\$10,000
Underground Sprinkler Syst	ems	\$100,000
Unnamed Locations - Unint	entional Errors and Omissions	\$1,000,00
Utility Services - Direct Dam	age	\$1,000,00
Utility Services - Time Eleme	ent	\$1,000,00
Limited Fungus/Fungi, Wet	Rot, and Dry Rot Coverage	
Direct Dam	lage	\$15,000
Business In	come and Extra Expense	\$15,000
Extra Exper	nse Number of Days	30 days
Backup of Sewer, Drains or	Sump Pump Failures	\$250,000
Ancillary Buildings		\$10,000
Outdoor Property - includin	g but not limited to:	\$100,000
Fences	Goal Posts	Traffic Lights/Control Boxes
Light Fixtures/Poles	Playground Equipment	Bleachers
Road Signs	Scoreboards	Ticket Booths
Non-Utility Poles	Benches	Dugouts
Fountains	Statues	Bike Racks
Monuments	Fire Hydrants	

All Supplemental Property Coverages are subject to a \$5,000 minimum deductible

COVERAGE SUMMARY: EQUIPMENT BREAKDOWN

COVERAGE	LIMIT
Total Building and Contents Value	\$34,642,552

Deductible: \$5,000

BI/EE & Utility Interruption Deductible: 24 Hours

COVERAGE EXTENSION

Combined Business Income	Included
Combined Extra Expense	Included
Spoilage Damage	Included
Utility Interruption - Time Element	\$10,000,000
Electronic Data or Media	\$10,000,000
Expediting Expenses	Included
Ordinance or Law	\$10,000,000
Hazardous Substance, Contamination, Pollutants	\$10,000,000
Newly Acquired Property	\$1,000,000
Debris Removal	25% or \$500,000
Water Damage	\$500,000
Emergency Power Generating Equipment 1,000 kw or less	Included

Non Emergency Power Generating Equipment is Excluded.

COVERAGE SUMMARY: MOBILE EQUIPMENT & MISC. ARTICLES

SCHEDULED LIMITS

	LIMITS
Mobile Equipment greater than or equal to \$10,000 per item	\$190,000
Mobile Equipment less than \$10,000 per item	\$126,999
Deductible: \$1,000	
*Or as indicated on the Schedule	
COVERED COSTS & EXPENSES	
Newly Acquired Property	
Per Item	\$250,000
Rental Expense Reimbursement	\$10,000
Pollutant Cleanup and Removal	\$100,000
Fire Department Equipment	\$50,000
Fine Arts	\$1,000,000
Accounts Receivable	\$1,000,000
Valuable Papers and Records	\$1,000,000
Unscheduled Watercraft	\$100,000
Musical Instruments, Band Uniforms, and Athletic Equipment	\$500,000

IM #	YEAR	DESCRIPTION	MAKE/MODEL	SERIAL NUMBER	DEDUCTIBLE	VALUE
6		Whisper Watt Genset Trailer Mounted Dies	Multiquip	4GNFU12283B 008803	\$1,000	\$15,000
7		Olympian Genset Trailer Mounted Diesel	Olympian	457EA0C04Y10 12437	\$1,000	\$15,000
8		444H Loader	John Deere	DW444HX5731 72	\$1,000	\$125,000
12		Generac Gebset Trailer Mounted Diesel		L87040615154 8	\$1,000	\$15,000
17	2012	326D Skid Steer	John Deere	1T0326DBJCG2 33250	\$1,000	\$20,000
Nobile I	Equipmen	t less than \$10,000 per item				
Nobile I	Equipmen YEAR	t less than \$10,000 per item DESCRIPTION	MAKE/MODEL	SERIAL NUMBER	DEDUCTIBLE	VALUE
		-	MAKE/MODEL B&R		DEDUCTIBLE \$1,000	VALUE \$4,000
IM #		DESCRIPTION		NUMBER 5FLRP06138B0		
IM # 2		DESCRIPTION Small Flat Bottom Trailer	B&R	NUMBER 5FLRP06138B0 23023	\$1,000	\$4,000
IM # 2 3		DESCRIPTION Small Flat Bottom Trailer Large Flat Bottom Trailer	B&R B&R	NUMBER 5FLRP0613880 23023 5FLRP1210 5NHUVS015BW	\$1,000	\$4,000 \$8,000
IM # 2 3 4		DESCRIPTION Small Flat Bottom Trailer Large Flat Bottom Trailer Televising Box Trailer Misc Equipment - \$10K	B&R B&R	NUMBER 5FLRP0613880 23023 5FLRP1210 5NHUVS015BW	\$1,000 \$1,000 \$1,000	\$4,000 \$8,000 \$5,500
IM # 2 3 4 13		DESCRIPTION Small Flat Bottom Trailer Large Flat Bottom Trailer Televising Box Trailer Misc Equipment - \$10K max per item	B&R B&R	NUMBER 5FLRP0613880 23023 5FLRP1210 5NHUVS015BW	\$1,000 \$1,000 \$1,000 \$1,000	\$4,000 \$8,000 \$5,500 \$54,504
IM # 2 3 4 13 14	YEAR	DESCRIPTION Small Flat Bottom Trailer Large Flat Bottom Trailer Televising Box Trailer Misc Equipment - \$10K max per item Mobile Equipment	B&R B&R Valusport	NUMBER 5FLRP0613880 23023 5FLRP1210 5NHUVS015BW 059548 M0HP4GX0514	\$1,000 \$1,000 \$1,000 \$1,000 \$1,000	\$4,000 \$8,000 \$5,500 \$54,504 \$40,500

COVERAGE SUMMARY: CRIME

COVERAGE	LIMIT	
Blanket Employee Dishonesty	\$1,000,000	
Loss Inside the Premises - Money & Securities	\$1,000,000	
Loss Outside the Premises	\$1,000,000	
Money Orders and Counterfeit Currency	\$1,000,000	
Depositors Forgery or Alterations	\$1,000,000	
Computer Fraud	\$1,000,000	
Funds Transfer Fraud	\$1,000,000	
Social Engineering/False Pretenses	\$50,000	

Deductible: \$10,000

The ICRMT Crime Form includes coverage for any of your officials who are required by law to give bonds for the faithful performance of their service against Loss through the failure of any Employee under the supervision of that official to faithfully perform his or her duties as prescribed by law and will meet the requirements for Public Officials bonds up to the statutory limit or policy limit, whichever is less.

COVERAGE SUMMARY: GENERAL LIABILITY

GENERAL LIABILITY	LIMITS
Each Occurrence	\$1,000,000
General Annual Aggregate	\$3,000,000
Products/Completed Operations Annual Aggregate	\$1,000,000
Advertising and Personal Injury	\$1,000,000
Premises Medical Payments	
Each Person	\$5,000
Each Occurrence	\$50,000
Deductible: \$1,000 each occurrence	
Sexual Abuse Liability – Claims Made	
Each Occurrence	\$1,000,000
Annual Aggregate	\$1,000,000
Retroactive Date: 05/23/2020	
Innocent Party Defense Coverage Included	
Deductible: \$2,500	
OVERAGES INCLUDE	

•	Non-Monetary Legal Defense	
	Each Occurrence	\$50,000
	Annual Aggregate	\$50,000
•	Liquor Liability	
•	Medical Professional (Excluding Doctors & Dentists)	
•	Special Events	
•	Terrorism	
•	Volunteers	
•	Non-Auditable	
•	Herbicides & Pesticides - \$50,000 Coverage Limits	
	Premises Liability	

COVERAGE

- Violent Event Response Coverage	\$500,000/\$500,000
- Crisis Investigation	Included
- Personal Crisis Management Event Response Team	Included
- Crisis Communication Support, Media Management, Public Relations	Included
- Temporary Security Measures	Included
- The following Sublimited Coverages:	
o Medical Expenses	\$25,000 Per Person
o Counseling Service Expenses	\$10,000 Per Person
o Funeral Service Expenses	\$15,000 Per Person
o Per Event Crisis Team Services	\$100,000
o Memorialization Expenses	\$250,000

LIMITS

Deductible: \$1,000 each occurrence

This is addition to the standard liability coverages offered under this policy.

COVERAGE SUMMARY: PUBLIC OFFICIALS LIABILITY

LIMITS
\$1,000,000
\$1,000,000
Included
Included
Included
Included
\$50,000
\$50,000

COVERAGE SUMMARY: AUTO LIABILITY & PHYSICAL DAMAGE

AUTO LIABILITY	LIMITS
Each Occurrence	\$1,000,000
Auto Medical Payments	
Each Person	\$5,000
Each Occurrence	\$25,000
Deductible: \$0 each occurrence	
UNINSURED & UNDERINSURED MOTORIST LIABILITY	
Each Occurrence	\$1,000,000
Deductible: \$0	
AUTO PHYSICAL DAMAGE	
Total Scheduled Value	\$376,636
Total Agreed Value	\$0
Number of Vehicles	8
Comprehensive Per Loss Deductible: \$1,000	
Collision Per Loss Deductible: \$1,000	
*Or as indicated on the Schedule	
COVERAGES INCLUDE	
 Automatic Liability for Newly Acquired Vehicles (Non-Auditable) 	Included
 Newly Acquired Automobiles Physical Damage (Non-Auditable) 	\$500,000
 Hired/Non-Owned Liability 	Included
 Hired Auto Physical Damage 	Included
 Garagekeepers Legal Liability - per Occurrence 	\$100,000
 Pollution Caused by Upset/Overturn 	Included
Commandeered Autos	Included
 Loss of Use and Lease Gap Coverage 	Included
 Rental Reimbursement 	Included

AUTO SCHEDULE Northern Moraine Wastewater Reclamation District

VEH #	YEAR	MAKE	MODEL	VIN	COMP. DED.	COLL. DED.	AGREED VALUE	ORIGINAL COST NEW
5	2019	Ford	F550	1FDUF5GT6KDA0 0945	\$1,000	\$1,000		\$68,497
6	2019	F250 Pickup	Ford	1FT7X2B60KED1 4003	\$1,000	\$1,000		\$29,925
7	2005	Series 2110	Vactor	2FZAATDC85AU8 5039	\$1,000	\$1,000		\$90,000
8	2019	Ford	Transit	1FTYR2CM9KKB6 5619	\$1,000	\$1,000		\$65,000
9	2020	Ford	F250	1FT7W2BN9LEE8 7515	\$1,000	\$1,000		\$34,452
10	2021	Ford	Explorer	1FMSK8BH7MGC 41714	\$1,000	\$1,000		\$35,470
11	2009	Forest River	RV	4X4TRLY209D103 087	\$1,000	\$1,000		\$9,300
12	2022	Ford	F550	1FD0W5HN4NEG 14289	\$1,000	\$1,000		\$43,992
				TOTAL AGREED VA	LUE		5	5 <mark>0</mark>
				TOTAL ORIGINAL COST NEW TOTAL INSURED VALUE		\$37	\$376,636	
						\$37	6,636	

COVERAGE SUMMARY: EXCESS LIABILITY

Coverage	Underlying Limits	Excess Limit
General Liability	\$1,000,000/\$3,000,000	\$5,000,000
Auto Liability	\$1,000,000	\$5,000,000
Public Officials (Claims Made)	\$1,000,000/\$1,000,000	\$5,000,000

COVERAGES EXCLUDED

- Sanitary Sewer Backup
- Sexual Abuse
- Uninsured/Underinsured Motorist Coverage
- Workers Compensation and Employers Liability
- Unmanned Aircraft
- Cyber Liability
- Claims arising out of the actual or alleged transmission of a communicable disease or virus
- PFA's (Polyfluoroalkyl Substances)

Insurance Company:	,
Policy Term:	

Voyager Indemnity Insurance Company 12/1/2024 - 12/1/2025

Address	Building Limit	Contents Limit	Deductible
420 Timber Trl	\$500,000	\$0	\$25,000
Island Lake, IL 60042			
(Main Control Bldg #4242)			
420 Timber Trl	\$500,000	\$0	\$25,000
Island Lake, IL 60042			
(Belt Filter Press Bldg #4225)			

Valuation: Replacement Cost Value

COVERAGE SUMMARY: WORKERS' COMPENSATION

COVERAGE

Workers' Compensation	Statutory
Employer's Liability Limit	
Each Accident	\$2,500,000
Each Employee for Disease	\$2,500,000

LIMIT

Deductible: \$0

ICRMT FEATURES AND BENEFITS

- Volunteers Covered
- Payrolls are subject to an annual audit
- Enhanced Case Management
- Tailored Risk Management Services
- Online Claims Reporting
- Crisis Mangement Assistance
- Terrorism Coverage Inlcuded
- ICRMT Trust Agreement contains a resolution making the program non-assessable

COVERAGE SUMMARY: WC PREMIUM CALCULATION

CODE	CLASSIFICATION	ANNUAL ESTIMATED PAYROLL	RATE	MANUAL PREMIUM
7580	Sewage Disposal Plant	\$535,182	3.03	\$16,216
8810	Clerical	\$403,793	0.44	\$1,777
S	TOTALS	\$938,975	2	\$17,993

Gross Annual Premium		\$17,993
Increased Limit Multiplier	1.02	\$18,353
Minimum Premium	\$1,000	\$18,353
Experience Modifier	0.87	\$15,967
Schedule Modifier	0.75	\$11,975
Expense Modifier		\$11,975
Subtotal		\$11,975
Premium Discount	6.30%	\$11,221
Total Annual Premium		\$11,220

THE FOLLOWING INSURING CLAUSES ARE SUBJECT TO AN EACH AND EVERY CLAIM LIMIT

INSURING CLAUSE 1:	CYBER INCIDENT RESP	PONSE
SECTION A: INCIDENT	RESPONSE COSTS	
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USDO	each and every claim
SECTION B: LEGAL ANI	D REGULATORY COSTS	
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION C: IT SECURIT	Y AND FORENSIC COSTS	
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION D: CRISIS CON	MMUNICATION COSTS	
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION E: PRIVACY B	REACH MANAGEMENT CO	DSTS
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION F: THIRD PAR	TY PRIVACY BREACH MAI	NAGEMENT COSTS
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION G: POST BREA	ACH REMEDIATION COSTS	5
Limit of liability:	USD50,000	each and every claim, subject to a maximum of 10% of all sums we have paid as a direct result of the cyber event
Deductible:	USDO	each and every claim

INSURING CLAUSE 2: CYBER CRIME

SECTION A: FUNDS TRANSFER FRAUD

Section relighted in		
Limit of liability:	USD250,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION B: THEFT OF	FUNDS HELD IN ESCROW	()
Limit of liability:	USD250,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION C: THEFT OF	PERSONAL FUNDS	
Limit of liability:	USD250,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION D: EXTORTIC	N	
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION E: CORPORA	TE IDENTITY THEFT	
Limit of liability:	USD250,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION F: TELEPHON	NE HACKING	
Limit of liability:	USD250,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION G: PUSH PAY	MENT FRAUD	
Limit of liability:	USD50,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION H: UNAUTHO	RIZED USE OF COMPUTE	R RESOURCES
Limit of liability:	USD250,000	each and every claim
Deductible:	USD2,500	each and every claim

INSURING CLAUSE 3: SYSTEM DAMAGE AND BUSINESS INTERRUPTION

SECTION A: SYSTEM D	AMAGE AND RECTIFICATI	ON COSTS
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION B: INCOME L	OSS AND EXTRA EXPENSE	
Limit of liability:	USD2,000,000	each and every claim, sub-limited to USD1,000,000 in respect of system failure
Deductible:	USD2,500	each and every claim
SECTION C: ADDITION	AL EXTRA EXPENSE	
Limit of liability:	USD100,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION D: DEPENDE	NT BUSINESS INTERRUPT	ION
Limit of liability:	USD2,000,000	each and every claim, sub-limited to USD1,000,000 in respect of system failure
Deductible:	USD2,500	each and every claim
SECTION E: CONSEQU	ENTIAL REPUTATIONAL H	ARM
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim
SECTION F: CLAIM PRI	EPARATION COSTS	
Limit of liability:	USD25,000	each and every claim
Deductible:	USDO	each and every claim
SECTION G: HARDWA	RE REPLACEMENT COSTS	
Limit of liability:	USD2,000,000	each and every claim
Deductible:	USD2,500	each and every claim

THE FOLLOWING INSURING CLAUSES ARE SUBJECT TO AN AGGREGATE LIMIT

INSURING CLAUSE 4: NETWORK SECURITY & PRIVACY LIABILITY

SECTION A: NETWORK SECURITY LIABILITY

Aggregate	limit of	liability:	USD2,000,000
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Deductible: USD2,500

SECTION B: PRIVACY LIABILITY

Aggregate limit of liability:	USD2,000,000
Deductible:	USD2,500

SECTION C: MANAGEMENT LIABILITY

Aggregate limit of liability: USD2,000,000

Deductible: USD2,500

SECTION D: REGULATORY FINES

Aggregate limit of liability:	USD2,000,000
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Deductible: USD2,500

in the aggregate, including costs and expenses each and every claim, including costs and expenses

in the aggregate, including costs and expenses each and every claim, including costs and expenses

200 Mar 1 Mar 10

in the aggregate, including costs and expenses each and every claim, including costs and expenses

in the aggregate, including costs and expenses each and every claim, including costs and expenses

SECTION E: PCI FINES, PENALTIES AND ASSESSMENTS

Aggregate limit of liability:	USD2,000,000	in the aggregate, including costs and expenses
Deductible:	USD2,500	each and every claim, including costs and expenses

INSURING CLAUSE 5: MEDIA LIABILITY SECTION A: DEFAMATION

1	12.00		
Aggregate	limit o	of liability:	USD2,000,000

Deductible: USD2.500

in the aggregate, including costs and expenses each and every claim, including costs and expenses

SECTION B: INTELLECTUAL PROPERTY RIGHTS INFRINGEMENT

Aggregate limit of liability: USD2,000,000

USD2.500

in the aggregate, including costs and expenses each and every claim, including costs and expenses

INSURING CLAUSE 6: TECHNOLOGY ERRORS AND OMISSIONS

NO COVER GIVEN

Deductible:

INSURING CLAUSE 7: COURT ATTENDANCE COSTS

Aggregate limit of liability:	USD100,000	in the aggregate
Deductible:	USD0	each and every claim

Premium Summary

Policy Term:

12/1/2024 - 12/1/2025

	Premium
Package	\$50,212
Flood	\$12,774
Workers' Compensation*	\$11,221
Cyber Liability	\$ 5,590
Service Fee	\$ 8,000
Total Premium	\$87,797

AssuredPartners Services – Added Service Offerings:

- MedCor Telephonic Nurse Triage System Included with addition of Workers' Compensation
- Safety Consultation Services Included
- Human Resources Included
- Claims Consultation Services Included

REQUIREMENTS TO BIND

The following must be received prior to binding:

- Signed Acceptance Statement
- Requested Payment Plan (if annual policy)
- Insured's Contact Information (space below)

PRIMARY CONTACT

Name		Tit	
Phone		Em	nail
Role: (check the role that applies)	□Accounting/Invoices	□Claims	Loss Control

ADDITIONAL CONTACTS

Name		Titl	e
Phone		Em	ail
Role: (enter one person per role)	□Accounting/Invoices	Claims	□Loss Control

ACCEPTANCE STATEMENT

Named Insured:	Northern Moraine Wastewater Reclamation District
Quote Number:	R3-1001294-2425-01
Policy Year:	DEC 01, 2024 - DEC 01, 2025

Total Annual Premium

\$50,212

Terms and Conditions

- The Named Insured can only cancel the Policy at program anniversary and only if 90-day prior written notice of cancellation is given. If required notice is not given, full estimated premium is earned, due and payable.
- All terms and conditions of membership in the Illinois Counties Risk Management Trust are set forth in the Trust by-laws. A copy of this document is available for your review
- Per the Membership Agreement, the member must be with the Trust for 12 months prior to withdrawing and can only withdraw at anniversary date of effective date.

REQUESTED PAYMENT PLAN:

□ Annual □ 50/50 □ 25/6

FEIN:

Acceptance Statement:

Please accept this as a formal confirmation that all terms and conditions, attached scheduled items, and premiums proposed by the Illinois Counties Risk Management Trust are accepted effective 12/01/2024.

Signature of Official

Date

INVOICE

PRESENTED BY: ILLINOIS COUNTIES RISK MANAGEMENT TRUST

Named Insured:	Northern Moraine Wastewater Reclamation District
Quote Number:	R3-1001294-2425-01

Policy Year: DEC 01, 2024 - DEC 01, 2025

Total Annual Premium	\$50,212

Premium Due by Effective Date of Coverage.

Based upon the payment plan you select, the following down payment is due:

Annual		
50/50	\$25,106	
25/6	\$12,553	

Please Make Checks Payable to:

Illinois Counties Risk Management Trust PO Box 8291 Carol Stream, IL 60197-8291

Named Insured:	Northern Moraine Wastewater
Quote Number:	R3-1001294-2425-01
Package Premium Remitted:	

Re: NORTHERN MORAINE WASTEWATER

Voyager Indemnity Insurance Company

Total Premium: \$12,774.00

Agent Signature

Date

Agency Name

Producing Agent

Insured Signature

Date

REQUIREMENTS TO BIND

The following must be received prior to binding:

- Signed Acceptance Statement
- Requested Payment Plan (if annual policy)
- Insured's FEIN
- Signed ICRMT Prior Coverage Verification Letter
- Signed Auto Supplemental
- Signed ICRMT Application
- Insured's Contact Information (space below)

PRIMARY CONTACT

Name		Tit	le
Phone		Em	nail
Role: (check the role that applies)	□Accounting/Invoices	□Claims	□Loss Control
ADDITIONAL CONTACTS			

Name		Titl	e
Phone		Em	ail
Role: (enter one person per role)	Accounting/Invoices	□Claims	Loss Control

ACCEPTANCE STATEMENT

Named Insured:Northern Moraine Wastewater Reclamation DistrictQuote Number:Q4-1001294-2425-01Policy Year:DEC 01, 2024 - DEC 01, 2025Requested Effective Date:01/01/2025

Total Annual Premium

\$11,221

Terms and Conditions

- The Named Insured can only cancel the Policy at program anniversary and only if 90-day prior written
 notice of cancellation is given. If required notice is not given, full estimated premium is earned, due
 and payable.
- All terms and conditions of membership in the Illinois Counties Risk Management Trust are set forth in the Trust by-laws. A copy of this document is available for your review
- Per the Membership Agreement, the member must be with the Trust for 12 months prior to withdrawing and can only withdraw at anniversary date of effective date.

REQUESTED PAYMENT PLAN:

Annual	50/50	□ 25/6

FEIN: _____

Acceptance Statement:

Please accept this as a formal confirmation that all terms and conditions, attached scheduled items, and premiums proposed by the Illinois Counties Risk Management Trust are accepted effective 01/01/2025.

Signature of Official

Date

INVOICE

PRESENTED BY: ILLINOIS COUNTIES RISK MANAGEMENT TRUST

Total Annual Premium	\$11,221
Requested Effective Date:	01/01/2025
Policy Year:	DEC 01, 2024 - DEC 01, 2025
Quote Number:	Q4-1001294-2425-01
Named Insured:	Northern Moraine Wastewater Reclamation Distri

Premium Due by Effective Date of Coverage.

Based upon the payment plan you select, the following down payment is due:

Annual	
50/50	\$5,610
25/6	\$2,805

Please Make Checks Payable to:

Illinois Counties Risk Management Trust PO Box 8291 Carol Stream, IL 60197-8291

Named Insured:	Northern Moraine Wastewater
Quote Number:	Q4-1001294-2425-01
Package Premium Remitted:	



AGENDA ITEM # 10D

Meeting Date:	October 7, 2024
<u>Item:</u>	Investment and Fiscal Operations Policy
Staff Recommendation:	Motion to approve a Resolution Updating the District's Investment and Fiscal Operations Policy
Staff Contact:	Mohammed M. Haque, District Manager

Background:

The current District Investment and Fiscal Operations policy was adopted in 2013. Since that time, the State adopted an Investment Policy in 2020 that applies to us. I asked Vic Filippini to update our policy to make sure it is in line with the State's new policy. The attached changes bring our policy to date and also include other changes that they felt we needed to safeguard the District's finances and investments.

Recommendation:

It is the recommendation of the District Manager to Approve the Resolution Updating the District's Investment and Fiscal Operations Policy

Votes Required to Pass:

Simple Majority, via a roll call vote





NORTHERN MORAINE WASTEWATER RECLAMATION DISTRICT

RESOLUTION NO. 2024-R-____

A RESOLUTION ADOPTING UPDATED INVESTMENT AND FISCAL OPERATIONS POLICY

WHEREAS, consistent with the Public Funds Investment Act, 30 ILCS 235/0.01 *et seq.*, the District has adopted a written investment and fiscal operations policy ("*Investment Policy*"); and

WHEREAS, the District periodically updates its Investment Policy to ensure conformity with applicable law and better serve the District's financial interest; and

WHEREAS, the District Board of Trustees (the "*District Board*") desires to adopt the updated Investment and Fiscal Operations Policy, attached hereto as <u>Exhibit A</u>, and finds that doing so is appropriate and in the best interests of the District; and

NOW, THEREFORE, BE IT RESOLVED by the President and Board of Trustees of the Northern Moraine Wastewater Reclamation District, as follows:

SECTION ONE. Incorporation of Recitals. The foregoing recitals are by this reference incorporated into and made a part of this Resolution as if fully set forth.

<u>SECTION TWO</u>. <u>Approval and Adoption of Updated Investment and Fiscal</u> <u>Operations Policy</u>. The District Board hereby approves the Investment and Fiscal Operations Policy attached hereto as <u>Exhibit A</u> and adopts it as the official policy of the District. In doing so,

the District Board repeals any prior Investment and Fiscal Operations Policy.

SECTION THREE: Effective Date. This Resolution shall be in full force and effect from and after its passage, approval, and publication in the manner provided by law.

PASSED THIS ____ day of _____, 2024.

AYES:

NAYS:

ABSENT:

APPROVED THIS _____ day of _____, 2024.

District President

ATTEST:

District Clerk

<u>EXHIBIT A</u>

Investment and Fiscal Operations Policy

INVESTMENT AND FISCAL OPERATIONS POLICY

I. <u>Purpose and Construction of the Investment Policy.</u>

1.01 Compliance with Illinois Public Funds Investment Act.

The Northern Moraine Wastewater Reclamation District ("*District*") has adopted this written investment policy ("*Investment Policy*") in compliance with Paragraph 2.5 of the Illinois Public Funds Investment Act, codified at 30 ILCS 235/1 et seq., (the "*Act*.") The purpose of this document is to establish a written investment policy that addresses the safety of principal, liquidity of funds, and return on investment of any investment of the District's funds.

1.02 Principles and Objectives of Investment Policy

The purpose of the Investment Policy is to establish cash management and investment guidelines for public funds. Specific guiding principles and objectives include:

(a) Safety of principal is of foremost importance of the Investment Policy of the District. Each investment transaction will seek first to ensure the preservation of capital and protection of investment principal in the overall portfolio.

(b) The investment program must serve the operating needs of the District. The District's investment portfolio shall remain sufficiently liquid to enable the District to meet all operating requirements, which may be reasonably anticipated in any District fund.

(c) Public confidence in the investment program and practices is essential. In managing its investment portfolio, all investments of the District will conform to federal, state and other legal requirements and thus avoid any transaction that might impair public confidence in the governing body.

(d) The investment portfolio shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints and liquidity needs. Return on investment is of secondary importance compared to the safety and liquidity objectives described above. The core of investments are limited to relatively low risk securities in anticipation of earning a fair return relative to the risk being assumed, and all investments shall be in conformity with applicable law.

1.03 Construction of this Investment Policy.

Given the nature, purpose, and amount of public funds collected, maintained, and expended by the District, this Policy is intended to provide simple requirements and minimal detail. The following principles of language construction shall apply to this Policy unless the context clearly requires otherwise. References to the plural include the singular, and the singular includes the plural. The term "or" means the inclusive disjunction, as represented by the phrase "and/or." The words "hereof," "herein," "hereunder," and similar terms refer to this Policy as a whole and not to any particular provision of this Policy. Headings and titles are for reference purpose only and shall not control or affect the construction of this Policy. Paragraph and exhibit references are to this Policy unless otherwise specified.

1.04 Certain Definitions.

In addition to the defined terms parenthetically set forth in this Policy, the following words and terms shall have the following meaning unless the context clearly requires otherwise.

(a) "Person" shall mean an individual natural person, corporation, partnership, limited liability company or partnership, trust, unincorporated association, joint venture, joint stock company, governmental entity of any political subdivision thereof, or any other entity.

(b) "District's Funds" shall have the same meaning as set forth for "Public Funds" in the Act.

II. <u>The District's Investment Policy.</u>

2.01 Authorized Investments.

The District's Board of Trustees ("*Board*') is responsible for establishing policies on the investment of any of the District's Funds and authorizing the District Manager and/or the District Clerk to execute those policies. The District may invest in any type of security allowed by Illinois law, notably the Public Funds Investment Act, 30 ILCS 235/0.01 *et seq.* A summary of the authorized investments includes:

1. in bonds, notes, certificates of indebtedness, treasury bills or other securities now or hereafter issued, which are guaranteed by the full faith and credit of the United States of America as to principal and interest;

2. in bonds, notes, debentures, or other similar obligations of the United States of America, its agencies, and its instrumentalities;

3. in interest-bearing savings accounts, interest-bearing certificates of deposit or interest-bearing time deposits or any other investments constituting direct obligations of any bank as defined by the Illinois Banking Act;

4. in short-term obligations of corporations organized in the United States with assets exceeding \$500,000,000 if (i) such obligations are rated at the time of purchase at one of the 3 highest classifications established by at least 2 standard rating services and which mature not later than 270 days from the date of purchase, (ii) such purchases do not exceed 10% of the corporation's outstanding obligations, and (iii) no more than one-third of the public agency's funds may be invested in short-term obligations of corporations under this paragraph (4);

5. in obligations of corporations organized in the United States with assets exceeding \$500,000,000 if (i) such obligations are rated at the time of purchase at one of the 3 highest classifications established by at least 2 standard rating services and which mature more than 270 days but less than 3 years from the date of purchase, (ii) such purchases do not exceed 10% of the corporation's outstanding obligations, and (iii) no more than one-third of the public agency's funds may be invested in obligations of corporations under this paragraph (4.5); or

6. in money market mutual funds registered under the Investment Company Act of 1940, provided that the portfolio of any such money market mutual fund is limited to obligations described in paragraph (1) or (2) of this subsection and to agreements to repurchase such obligations.

7. Interest bearing bonds of any county, township, city, village, incorporated town, municipal corporation, or school district, of the State of Illinois, of any other state, or of any political subdivision or agency of the State of Illinois or of any other state, whether the interest earned thereon is taxable or tax-exempt under federal law. The bonds shall be registered in the name of the municipality, park district, forest preserve district, conservation district, county, or other governmental unit, or held under a custodial agreement at a bank. The bonds shall be rated at the time of purchase within the 4 highest general classifications established by a rating service of nationally recognized expertise in rating bonds of states and their political subdivisions.

No financial institution shall receive funds unless it has complied with the requirements established pursuant to Section 6 of the Public Funds Investment Act, 30 ILCS 235/6.

2.02 Standard of Care.

Except as otherwise provided by law, the standard of care to be exercised by the Board in directing the investing of District's Funds is that contained in Article 9 of the Illinois Trust Code, which is commonly referred to as the Prudent Investor Rule; provided, however, that:

(a) the investment portfolio must be structured in such manner as to provide sufficient liquidity to pay obligations as they become due; and

(b) The Board does not have a duty to review the District's assets and implement decisions concerning the retention and disposition of pre-existing investments each year upon a trustee being appointed or re-appointed to the Board.

2.03 Investment Objectives.

The principle guideline to be followed by the Board, based upon the nature, purpose, and amount of the Public Funds the District collects, manages, and controls, is to favor minimizing the risk of the funds over return on investment. However, this investment objective shall not be construed as imposing a duty on the Board to ensure that any investment of the District's Funds is without any risk at all.

2.04 Diversification of Investments.

The District's policy on diversification of the investment portfolio is that diversification is not required, but is left to the discretion of the Board, qualified by the duty of care owed by the Board pursuant hereto.

2.05 Financial Institution Collateral Requirements.

The District shall enter into collateral security agreements or similar instruments with any financial institution wherein District funds on deposit exceed FDIC insured limits. All such agreements shall be reviewed and approved by the Board

2.06 Internal Controls and Operational Procedures.

The District Manager shall establish a system of internal controls and written operational procedures designed to prevent losses of funds that might arise from fraud, employee error, misrepresentation by third parties, or imprudent actions by employees. Generally, this system and these procedures should continue established practices of having an annual audit conducted by a certified public accountant and providing an opportunity for the Board to review all disbursements (other than payroll or petty cash) before approving such disbursements. Further, this system and these procedures shall contain a prohibition on any District employee or Trustee from authorizing any purchase or executing any contract for an amount exceeding their statutory

authority on behalf of the District without prior Board approval or authorization. Prior authorization shall include Board approval of specific projects or programs identified within the fiscal operating budget or appropriations ordinance.

2.07 Responsible Person for Internal Controls.

The District Manager shall be designated as the Chief Financial Officer and Chief Investment Officer, and, subject to the periodic review and approval of the District's Treasurer, is responsible for establishing internal controls and written procedures for the operation of the investment program.

2.08 Performance Measures.

The District's investment portfolio shall be managed in accordance with the parameters specified within this policy. At a minimum, return on investments of at least equivalent to the amount which the District would have obtained by keeping the District's Funds it has collected but will not need to use to pay obligations expected to come due in the next 3 months, in a simple, interest-bearing savings account. Longer term investment portfolio performance should be compared to benchmarks with similar maturity, liquidity and credit quality as the portfolio. The benchmark shall be the 90 day T-Bill rate or the Illinois Fund rate.

2.09 Annual Review.

Upon request by the District Board, the District Manager shall conduct a review of the District's invesement portfolio, its effectiveness in meeting the District's needs for safety, liquidity, rate of retrn, and diversification, and its general performance.

2.10 Quarterly Report.

At the Board's regular monthly meeting each August, November, February, and May, the District Manager shall provide to the Board a written quarterly report of the District's investment activities, including information about any securities, and such securities' class or type, book value, income earned, and market value.

2.11 Advisors, managers, and institutions.

The District shall favor investing District's Funds in competently managed accounts providing comprehensive banking services or accounts in financial institutions with offices in or near the geographical boundaries of the District. No member of the Board or employee shall accept any gift, property or money from any investment advisor, money manager, or financial institution doing business with the District. Furthermore, no such investment advisor, money manager, or financial institution shall do business with the District if any trustee or employee of the District has a substantial interest in such Person, unless such interest has been fully disclosed prior to retention of the investment advisor, money manager, or financial institution, and the interested employee or trustee has not participated in the decision to enter into such relationship.

2.12 Ethics and conflicts of interest.

The policies and practices contained in the District's Code of Ethics shall be incorporated into and made a part of this Investment Policy. Officers and employees involved in the investment process shall refrain from personal business activity that could conflict with the proper execution of the investment program, or which could impair their ability to make impartial investment decisions. Employees and officials shall disclose to the Board any material financial interest in financial

institutions that conduct business with the District, and they shall further disclose any large personal financial or investment positions that could be related to the performance of the District's portfolio. Employees and officers shall subordinate their personal investment transactions to those of the District particularly with regard to the timing of purchases and sales.

2.13 Sustainability.

The District recognizes that material, relevant, and decision-useful sustainability factors have been or are regularly considered by the District, within the bounds of financial and fiduciary prudence, in evaluating investment decisions. Such factors include, but are not limited to: (1) corporate governance and leadership factors; (2) environmental factors; (3) social capital factors; (4) human capital factors; and (5) business model and innovation factors, as provided under the Illinois Sustainable Investing Act.

III. Fiscal Operations Policies.

3.01 Revenue policies

(a) The District will attempt to maintain a diversified and stable revenue system. To do this, the District shall:

- 1. Utilize to the greatest extent possible revenues that are stable or grow in tandem with costs of operations.
- 2. Establish user charges and fees directly related to the cost of providing services.
- 3. Review fees and charges annually.

(b) The District shall use cash basis of accounting for budgetary purposes and trend analysis to support projected revenue increases or decreases. The District may use the following trend analyses in projecting revenues:

- 1. District Real Estate development patterns.
- 2. Investment rate of return performance.
- 3. Consumer Price Index.

3.02 Expenditure policies

(a) Expenditures are generally equal to revenues unless specific Board action is being taken to increase or reduce cash balances (i.e. planned capital purchases or projects benefiting the District over several years).

(b) Review staff levels throughout the year and submit formal requests for changes in staffing levels during the budget process.

(c) Fulfill Goals as outlined in the annual budget documents.

1. To provide high quality services at a reasonable cost by comparing the District with similar Districts throughout the budget process. The District regularly compares its expenditures with those of other communities by responding to and distributing specific issue surveys. The information collected is used in preparing the Budget Plan and establishing department goals.

(d) Competitively compensate employees, recognizing that a well-trained and experienced work force is a vital component of providing high quality services at a reasonable cost.

(e) Apply cost accounting practices to provide better accountability of District expenditures and their association with funding sources.

3.03 The Fund Balance and Reserve Policy

- (a) Target and maintain an appropriate balance for each fund.
 - 1. Changes in Fund Balance as presented in the Fiscal Year Budget indicate that District funds are in a targeted range.

(b) Annually prepare a budget forecast in an attempt to identify strengths and weaknesses in the use of fund balances in future years.

3.04 Reserve Policies

(a) The District shall strive to maintain an unassigned fund balance in the General Fund of at least 50% of the estimated annual operating expenditures of the fund. The unassigned fund balance shall be created and maintained for the following purposes:

- 1. Offset unanticipated downturns or changes to General Fund revenues;
- 2. Provide a sufficient cash flow for day-to-day operations;
- 3. Offset unexpected increases in General Fund operating expenditures; and
- 4. Provide an ability to make emergency purchases or repairs.

(b) Whenever the unassigned fund balance in the General Fund exceeds 50% of the estimated annual operating expenditures in the fund, the excess shall be transferred to the Capital Improvement Fund.

(c) A Capital Improvement Fund has been created in order to meet general capital needs of the District. Capital purchases shall be made from available resources in the Capital Improvement Fund.

(d) To provide for day-to-day operations of the Sewer O&M Fund, the District shall strive to maintain a cash and investment balance equal to at least, 25% of the estimated annual operating expenditures of the fund.

(e) District Manager shall seek Board approval before utilizing fund balances for onetime capital purchases or for purposes other than regular operating expenditures as required by applicable law.

3.05 Capital Improvement Fund Reserves

(a) Capital Replacement Fund has been created in order to meet general capital needs of the District. Capital purchases will be made from Capital Improvement Fund resources. Funding for the Capital Improvement Fund will be provided from operating reserves in excess of 50% of the regular annual operating expenditures in the General Fund and 25% of the Sewer O&M Fund.

3.06 Cash Management

(a) The District's policy regarding cash management is based upon the realization that there is a time-value to money. Temporarily idle cash may be invested for a period of one day to an excess of one year depending upon when the money is needed. Accordingly, the District Manager shall prepare written cash management procedures, which shall include, but not be limited to, the following:

- 1. Receipts: All monies due the District shall be collected as promptly as possible. The District shall deposit monies that are received in an approved financial institution no later than the next business day after receipt. Amounts that remain uncollected after a reasonable length of time shall be subject to any available legal means of collection.
- 2. Disbursements: Any disbursement to suppliers of goods and/or services or to employees for salaries and wages shall be contingent upon an available budget appropriation. Disbursements shall normally be rendered upon the Board approval. The District Manager and the District Clerk shall authorize the disbursement of funds for scheduled items such as payroll and FICA disbarments, etc. and in order to avoid cases where operations would be materially impaired. Non Scheduled prior disbursements shall be submitted to the Board on the next accounts payable voucher list.

(b) The District will assess its fund balance in all the funds on an annual basis based on current and anticipated needs.

3.07 Capital Improvements Policies.

- (a) Annually update budget for Capital items.
 - 1. The annual budget process will include the discussion and review of proposed capital improvement plan. The Board and the District Manager discuss the District infrastructure and developmental needs. The District Manager shall update the Capital Improvement Plan in the context of the budget to ensure that adequate funding will be available as part of the annual budget proposal.
- (b) Revenue streams are matched to Capital outlays.

(c) Incorporate planned capital improvement projects for the current year into the Budget.

- 1. Those capital improvements approved by the Board are included in the Fiscal Year Budget.
- (d) Establish a Capital Improvement Fund that provides for future improvements of

capital and technological equipment. Initial funding will be provided by transferring fund balances in the General and Enterprise funds in excess of reserves exceeding the reserves policy. Additional reservations of fund balance may be made from time-to-time as operating surpluses become available or as deemed appropriate as a result of changes to the capital improvement policy.

3.08 Debt Management policies

(a) The District will not issue notes or bonds to finance operating deficits.

(b) The District may issue debt when the resulting improvement will generate a revenue stream or enhance revenues to the extent that new revenues will be used to repay the obligation.

3.09 Budgeting policies

- (a) An open, well communicated budget process is the objective for the District and:
 - 1. The budget process and documents are available for public use and all meeting/workshops are open to the public.
 - 2. A Public Hearing is scheduled for April, specifically to review the proposed Budget.
 - 3. A Truth in Taxation Hearing is scheduled for December each year, or as otherwise when required.
 - 4. Public notice is given in the newspapers, as required by State Statute.
- (b) Use prevailing governmental accounting standards.
 - 1. An outside accounting firm audits the District's financial statements annually.
 - 2. Since fiscal year 2011-12, the District's budgets have been formatted to meet the GFOA's standards for publishing a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device.

(c) The budget shall set forth expenditures and other uses for the period to be equal to or less than proposed revenues and other sources available (i.e. a Balance Budget).

IV.

Amendments.

This policy shall be reviewed from time to time by the District Manager and revisions shall be presented to the Board for its approval.

V.

Conflicts.

All District ordinances and parts of ordinances and all resolutions or parts thereof in conflict with this policy, or any parts thereof, are hereby repealed. In the event of any conflict between this policy and the Illinois Compiled Statutes or case decisions of the State of Illinois, then the statutes and case law decision shall control.

KeyCite Yellow Flag - Negative Treatment Proposed Legislation

West's Smith-Hurd Illinois Compiled Statutes Annotated Chapter 30. Finance Receipt, Investment, and Disbursement Act 235. Public Funds Investment Act (Refs & Annos)

30 ILCS 235/2.5

235/2.5. Investment policy

Effective: January 1, 2020 Currentness

§ 2.5. Investment policy.

(a) Investment of public funds by a public agency shall be governed by a written investment policy adopted by the public agency. The level of detail and complexity of the investment policy shall be appropriate to the nature of the funds, the purpose for the funds, and the amount of the public funds within the investment portfolio. The policy shall address safety of principal, liquidity of funds, and return on investment and shall require that the investment portfolio be structured in such manner as to provide sufficient liquidity to pay obligations as they come due. In addition, the investment policy shall include or address the following:

(1) a listing of authorized investments;

(2) a rule, such as the "prudent person rule", establishing the standard of care that must be maintained by the persons investing the public funds;

(3) investment guidelines that are appropriate to the nature of the funds, the purpose for the funds, and the amount of the public funds within the investment portfolio;

(4) a policy regarding diversification of the investment portfolio that is appropriate to the nature of the funds, the purpose for the funds, and the amount of the public funds within the investment portfolio;

(5) guidelines regarding collateral requirements, if any, for the deposit of public funds in a financial institution made pursuant to this Act, and, if applicable, guidelines for contractual arrangements for the custody and safekeeping of that collateral;

(6) a policy regarding the establishment of a system of internal controls and written operational procedures designed to prevent losses of funds that might arise from fraud, employee error, misrepresentation by third parties, or imprudent actions by employees of the entity;

(7) identification of the chief investment officer who is responsible for establishing the internal controls and written procedures for the operation of the investment program;

(8) performance measures that are appropriate to the nature of the funds, the purpose for the funds, and the amount of the public funds within the investment portfolio;

(9) a policy regarding appropriate periodic review of the investment portfolio, its effectiveness in meeting the public agency's needs for safety, liquidity, rate of return, and diversification, and its general performance;

(10) a policy establishing at least quarterly written reports of investment activities by the public agency's chief financial officer for submission to the governing body and chief executive officer of the public agency. The reports shall include information regarding securities in the portfolio by class or type, book value, income earned, and market value as of the report date;

(11) a policy regarding the selection of investment advisors, money managers, and financial institutions; and

(12) a policy regarding ethics and conflicts of interest.

(a-5) The investment policy shall include a statement that material, relevant, and decision-useful sustainability factors have been or are regularly considered by the agency, within the bounds of financial and fiduciary prudence, in evaluating investment decisions. Such factors include, but are not limited to: (i) corporate governance and leadership factors; (ii) environmental factors; (iii) social capital factors; (iv) human capital factors; and (v) business model and innovation factors, as provided under the Illinois Sustainable Investing Act.

(b) For purposes of the State or a county, the investment policy shall be adopted by the elected treasurer and presented to the chief executive officer and the governing body. For purposes of any other public agency, the investment policy shall be adopted by the governing body of the public agency.

(c) The investment policy shall be made available to the public at the main administrative office of the public agency.

(d) The written investment policy required under this Section shall be developed and implemented by January 1, 2000.

Credits

Laws 1943, vol. 1, p. 951, § 2.5, added by P.A. 90-688, § 5, eff. July 31, 1998. Amended by P.A. 101-473, § 105, eff. Jan. 1, 2020.

30 I.L.C.S. 235/2.5, IL ST CH 30 § 235/2.5 Current through P.A. 103-613 of the 2024 Reg. Sess. Some statute sections may be more current, see credits for details.

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